ATTACHMENT 7 MRI SUBSTUDY PROTOCOL

Core Gel Study MRI Substudy Protocol Prepared by: David Gorczyca, MD

Introduction:

Breast implants may not last a lifetime. While the silicone material itself has not been shown to biodegrade, the shell may rupture due to wear and tear, or direct injury. If the implant shell is ruptured, the escaping gel is usually contained by the scar envelope in the surgical pocket (intracapsular) and may be undetectable. If the scar envelope is torn, the gel can be driven into the local tissue planes and breast tissue (extracapsular).

A dependable estimate of implant failure is essential information to obtain for the benefit of manufacturers, regulatory agencies, plastic surgeons, and past and prospective patients. Several studies with surgical correlation have been published in peer reviewed journals demonstrating that Magnetic Resonance Imaging (MRI) is considered the superior imaging modality to detect silent rupture.

The chemical composition of most medical grade silicones is dimethyl polysiloxane with varying degrees of polymerization. The MR signal is derived from the protons of the methyl groups. The implant shell is also composed of silicone but differs from the gel because of the many additional cross linkages between the methyl groups that result in an elastic solid, therefore only minimal MR signal is produced from the silicone shell because of these cross linkages.

The method of evaluating implants by MRI is to make the silicone appear bright and everything else on the image appears dark, so that the implant shell (which is seen as a dark line) can be seen clearly against the bright silicone background. Intracapsular rupture is detected by seeing the collapsed implant shell (curvilinear low signal intensity lines-linguini signs) surrounded by high signal silicone gel. Soft tissue silicone is detected by seeing high signal silicone against a background of decreased signal soft tissues.

The selection of pulse sequences used to image breast images is determined by the Lamour frequencies and T1 and T2 properties of the tissues (fat, muscle, and silicone). T1 and T2 relaxation is an intrinsic property of each biological tissue. Since the frequency of silicone is close to fat, when chemical suppression techniques (fat or water suppression) are used, the MR signal from silicone behaves similar to fat.

Standard MRI sequences used to image breast implants are a sagittal T2 weighted fast spine echo (FSE) with water suppression and an axial T2 weighted fast spin echo (FSE). The heavily T2 weighted images with a TE of approximately 200, decreases the signal from the breast adipose tissue while keeping the signal from the silicone fairly high. These sequences can vary slightly on different manufacturers' machines and at different institutions, but the principles are the same.

Revised: 4 August, 2000 $2005-41''/\mathcal{L}_{12} = 27_{12} - 77_{13} = \sqrt{2} + \sqrt{2} + 27_{14}$

Study Objective:

To determine the incidence and prevalence of silicone gel rupture, using standardized MRI based protocol.

Patient Population:

A subset of 405 women participating in the Mentor Core Gel Protocol who have been selected by random number generation

Scanning Intervals:

This subset of patients will undergo MRI scans of the breast at 1, 2, 4, 6, 8 and 10 years after the original implant surgery.

Study Design:

MRI centers located in close proximity to the investigative sites will be chosen. These centers must have at a minimum: a 1.5 Tesla magnet and a dedicated breast coil. Hardcopies of the imaging sequences, which will include the patient study number, will be made and sent to a central reading center, where the scans will be read by a recognized expert in breast MRI. The results will be entered into the study database. The information that will be collected will include location of the implant and any evidence of intracapsular or extracapsular rupture. The scan will be read locally, as well and a copy of the report will be placed in the patient's permanent medical record.

References

- 1. Gorczyca DP, Sinha S, Ahn CY, DeBruhl ND, Hayes MK, Gausche VR, Shaw WW, Basset LW, "Silicone Breast Implants in Vivo: MR Imaging. Radiology 1992, 185:407-410.
- 2. Ahn CY, Shaw WW, Narayanna K, Gorczycz DP, Sinha S, DeBruhl ND. Bassett LW: Definitive Diagnosis of Breast Implant Rupture Using MRI", Plastic and Reconstructive Surgery, September 1993.
- 3. Gorczyca DP, DeBruhl ND, Ahn CY, Hoyt A, Sayre JW, Nudell P, McCombs M, Shaw WW, Bassette LW, "Silicone Breast Implant Ruptures in an Animal Model: Comparison of Mammography, MRI, US, and CT, Radiology 1994: 190:227-232.
- 4. Ahn, CY, DeBruhl ND, Gorczyca DP, Shaw WW, Bassett LW, "Comparative Silicone Breast Implant Evaluation using Mammography, Sonography, and Magnetic Resonance Imaging: Experience with 59 Implants", Plastic and Reconstructive Surgery 1994: 94(5):620-627.

MRI Imaging Sequences for the Mentor Protocol "Study of the Safety and Effectiveness of the Mentor Round Gel-filled Mammary Prosthesis in Patients who are Undergoing Primary Breast Augmentation, Primary Breast Reconstruction or Revision"

The following scanning sequences are the minimal sequences required for the study. Each site may perform additional sequences that are routine at their institution

- Patients should be scanned 1 year, 2 years, 4 years, 6 years, 8 years and 10 years after the initial implantation.
- Sequences should be acquired using a 1.5 Tesla magnet.
- Patient should be in the prone position in a dedicated bilateral breast coil.
- Make 1 additional hardcopy for Mentor.
- Scan time will be approximately 20 minutes.
- Include patient study ID on hardcopy.
- Mail hardcopies to the following address:

Mentor Clinical Programs
Attention: Core Gel breast Monitor
Mentor Corp.
201 Mentor Drive
Santa Barbara, CA 93111

- Scout sagittal sequence GRASS or other fast sequence (does not have to be hard copy imaged)
- Axial T2 weighted FSE
 - TR/TE 5000/200
 - Matrix 256 x 256
 - NEX 2
 - FOV 32 to 36 depending on patient size
 - Slice thickness 3 skip 1
- Sagittal T2 FSE with water suppression
 - TR/TE 5000/200
 - Matrix 256 x 256
 - NEX 2
 - FOV 18 to 24 depending on patient size
 - Slice thickness 3 skip 1

MRI Substudy Glossary

For purposes of this clinical study, the following basic definitions for interpreting clinical study MRI scans have been standardized:

Intracapsular Implant Rupture (silent rupture): rupture of the implant shell (elastomer envelope) allowing a release of silicone gel, which does not extend beyond the intact fibrous capsule. This is the most common type of implant rupture.

Extracapsular Implant Rupture: rupture of both the implant shell and the fibrous capsule with silicone leakage extending into the surrounding tissue.

Uncollapsed Implant Rupture: when a ruptured implant shell does not collapse or only partially collapses.

Silicone Gel Bleed: Gel bleed occurs in all silicone implants. The most widely accepted definition is microscopic silicone diffusion through an intact implant shell, which does not constitute device rupture.

ATTACHMENT 8 STUDY DEFINTIONS

Study Definitions

- 1. **Abscess:** A localized collection of pus usually caused by bacterial infection, in or around the breast tissue.
- 2. **Adverse Events:** An adverse event is defined as any undesirable clinical occurrence in a subject whether it is considered to be device related or not (Clinical Investigation of Medical Devices for Human Subjects EN 540:1993).
 - Device leaks, tears, or ruptures and all instances of surgical removal of implant for those reasons
 - Removal of the implant for any reason.
 - Baker III or Baker IV capsular contracture, hematoma, seroma, delayed wound healing, necrosis, breast pain, new diagnosis of breast cancer, lactation difficulties, ptosis, irritation/inflammation, assymetry, hypertrophic scarring, lymphadenopathy, extrusion, wrinkling, calcification, nipple/breast sensitivity change, silicone granuloma, fluid accumulation, infection and any secondary surgical procedures.
 - Implant change due to cosmetic dissatisfaction.
 - Any secondary surgical procedure.
 - Examples of secondary procedures that would not be considered adverse events are nipple tattoo, staged reconstruction or port removal.
- 3. **ALS (amyotrophic lateral sclerosis):** Syndrome marked by muscular weakness and atrophy due to degeneration of motor neurons of the spinal cord, medulla, and cortex.
- 4. **Asymmetry** is one or more of the following conditions:
 - One cup size difference in breast size
 - Need to differentially pad one cup to fill bra to match the opposite breast size
 - More than 1.5cm difference of nipples as measured laterally from sternal midline and/or clavicular prominences
 - More than 1.5 cm differences in inframmary folds height.
 - Asymmetry due to chest wall deformity such as scoliosis or other deformities of the thoracic cage and/or associated visible differences in shoulder height.
- 5. **Breast Pain not associated with another complication:** Any post surgical breast pain that is not associated with capsular contraction, infection, abscess or other complication.
- 6. Cancer: A malignant tumor or neoplasm.
- 7. Calcification: Grossly visible calcification on the capsule walls.
- 8. **Congenital Deformity:** Includes, but is not limited to asymmetry, scoliosis, thoracic cage asymmetry, breast aplasia, hypomastia, deformity due to tumors (hemangiomas, lymphangiomas, giant fibroadenomas), tubular breasts, pectus excavatum or pectus carinatum

- 9. **Capsular Contracture**: Formation of scar tissue around the implant that tightens or squeezes the implant which can result in excessive firmness of the implanted breast.
- 10. **Capsulotomy, Open**: Technique used to correct or reduce capsular contracture through surgical intervention by incision into the breast; usually performed when the contracture is moderate; generally limited to replacement of the implant without surgical dissection of the capsule.
- 11. **Capsulectomy**: Technique used to correct or reduce capsular contracture, through surgical intervention, by incision into the breast; usually performed as a result of a firm, thick capsule marked contracture; generally requires surgical dissection of the capsule prior to replacement of the implant.
- 12. **Delayed wound healing**: Any incision that shows wound separation.
- 13. **Hypertrophic Scarring:** Any scar that is not flattened and mature at 18 months or that requires treatment with steroid injections or silicone pads, etc.
- 14. Extrusion: Any exposure of the implant.
- 15. **Granuloma:** Any foreign body (silicone) that is palpable or visible or seen at surgery.
- 16. **Hematoma**: Any blood collection large enough to require removal.
- 17. **Hypoplastic:** Characterized by incomplete or underdevelopment of the breast.
- 18. **Immediate Breast Reconstruction:** Implant may be inserted up to 1-week post mastectomy.
- 19. **Infection:** Any bacterial invasion that has systemic or regional signs and symptoms that require antibiotics for treatment (not prophylaxis).
- 20. **Migration:** Movement of the implant from desired position within or outside the original pocket.
- 21. **Multiple Sclerosis**: A chronic, slowly progressive disease of the central nervous system characterized by development of disseminated demyelinated glial patches called plaques.
- 22. **Necrosis:** Tissue death
- 23. **Pectus Carinatum:** Congenital convex chest wall deformity with abnormalities of the sternum and anterior ribs
- 24. **Pectus Excavatum:** Congenital concave chest wall deformity with abnormalities of the sternum and anterior ribs.
- 25. **Ptosis:** Standard grading of ptosis by nipple level.

- 26. **Secondary Procedure:** Any surgery on the breast taking place after the initial implant surgery. All subsequent surgeries on the breast are considered adverse events EXCEPT: staged reconstruction, port removal, and nipple tattoo. Examples of secondary procedures considered to be adverse events are: surgical capsular contracture intervention or explants.
- 26. Serious Unanticipated Adverse Event: Any other adverse occurrence, side effect, injury, toxicity, or sensitivity reaction that reasonably suggests adverse events from the implant which involve death, life threatening conditions or permanent impairment of body function which have not been addressed in the product literature or which has been addressed, but is occurring with unexpected severity or frequency. These include rheumatologic conditions.
- 27. **Seroma (fluid accumulation):** Sufficient peri-prosthetic fluid to cause a noticeable volume change or be considered abnormal when detected by breast imaging.
- **28.** Severity of Adverse Events: mild noticed by patient; moderate noted by both patient and doctor; severe requires treatment/intervention.
- 29. Wrinkling: Any detectable implant wrinkle, either visually or palpably.

ATTACHMENT 9 STUDY DEVICES

Sizes, Catalog Number, Diameter, and Projection for Siltex® Round Low Bleed Gel-filled Mammary Prosthesis, Moderate Profile

Device	Catalog	Diameter	Projection
Volume	Number		
100 cc	354-1007G	8.8 cm	2.5 cm
125 cc	354-1257G	9.3 cm	2.8 cm
150 cc	354-1507G	10.2 cm	2.7 cm
175 cc	354-1757G	10.7 cm	2.8 cm
200 cc	354-2007G	11.2 cm	2.8 cm
225 cc	354-2257G	11.4 cm	3.0 cm
250 cc	354-2507G	11.5 cm	3.3 cm
275 cc	354-2757G	12.4 cm	3.4 cm
. 300 cc	354-3007G	12.6 cm	3.5 cm
325 cc	354-3257G	12.9 cm	3.6 cm
350 cc	354-3507G	13.4 cm	3.7 cm
375 cc	354-3757G	13.4 cm	3.8 cm
400 cc	354-4007G	13.5 cm	3.9 cm
450 cc	354-4507G	13.9 cm	4.1 cm
500 cc	354-5007G	14.2 cm	4.3 cm
550 cc	354-5507G	14.8 cm	4.4 cm
600 cc	354-6007G	15.4 cm	4.5 cm
700 cc	354-7007G	16.8 cm	4.3 cm
800 cc	354-8007G	17.2 cm	4.6 cm

Sizes, Catalog Number, Diameter, and Projection for Smooth Round Low Bleed Gel-filled Mammary Prosthesis, Moderate Profile

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Device	Catalog	Diameter	Projection
Volume	Number		
100 cc	350-7100BCG	9.3 cm	2.1 cm
125 cc	350-7125BCG	10.0 cm	2.2 cm
150 cc	350-7150BCG	10.3 cm	2.3 cm
175 cc	350-7175BCG	11.2 cm	2.4 cm
200 cc	350-7200BCG	11.7 cm	2.5 cm
225 cc	350-7225BCG	12.2 cm	2.6 cm
250 cc	350-7250BCG	12.3 cm	2.8 cm
275 cc	350-7275BCG	13.2 cm	2.9 cm
300 cc	350-7300BCG	13.5 cm	3.0 cm
325 cc	350-7325BCG	13.9 cm	3.0 cm
350 cc	350-7350BCG	14.2 cm	3.1 cm
375 cc	350-7375BCG	14.4 cm	3.2 cm
400 cc	350-7400BCG	14.5 cm	3.2 cm
450 cc	350-7450BCG	14.9 cm	3.4 cm
500 cc	350-7500BCG	15.2 cm	3.6 cm
550 cc	350-7550BCG	15.9 cm	3.6 cm
600 cc	350-7600BCG	16.5 cm	3.7 cm
700 cc	350-7700BCG	17.4 cm	3.9 cm
800 cc	350-7800BCG	18.2 cm	4.1 cm

ATTACHMENT 10 CASE REPORT FORMS

The data collected at years 2, 3, 4, 5, 6, 7, 8, 9, and 10 are identical to those collected at 1 year, and hence are not included in this attachment.



Protocol Number 10-009-0799-01

Core Study of the Safety
and Effectiveness of the
Mentor Round Gel-filled Mammary Prosthesis
in Patients Who Are Undergoing
Primary Breast Augmentation or
Primary Breast Reconstruction or Revision
(Core Gel Breast IDE Clinical Trial)

Site	Nun	nber	Patie	nt N	umb	er	Patie	ent In	itials
						_	first	middle	last

Instructions for Completing Baseline CRF Packet for Mentor Core Gel Breast IDE Clinical Trial

This package contains the Baseline CRFs (pages 1–25), which should be completed as the patient progresses through the screening period. As you complete the Baseline CRFs, remember these important points:

- 1. Complete Baseline CRFs with Patient's initials.
 - a. Please try to obtain Patient's middle initial.
 - b. If Patient has no middle initial, use "-".
- 2. Leave Patient Number blank on every page until Patient is enrolled.
- 3. If Patient is enrolled:
 - a. Write the Patient Number on every page of the Baseline CRFs.
 - b. Place Baseline CRFs in Patient's CRF binder (behind the tabbed divider).
- 4. If Patient is not enrolled:

Discard the Baseline CRFs.

- 5. Do not leave any questions unanswered or blank.
- 6. Correct entries by drawing a single line through the data and initialing and dating the correction.
- 7. Always sign and date the forms where indicated.

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BASELINE

PATIENT STUDY ID:

TRIAL NO COUNTRY NO		SITE NO	PATIENT NO	PATIENT INITIALS		
10-009	0 0 1			first middle last		

Visit	Date		
INCL	USION CRITERIA		
If any	answer to questions 1–4 is NO , the patient must be excluded from the study.	NO 1	YES
1.	Is the patient a genetic female 18 years or older?		
2	Is the patient a candidate for primary breast augmentation or primary reconstruction (for cancer, trauma, surgical loss of breast or congenital deformity) or revision surgery (previous augmentation or reconstruction with silicone-filled or saline-filled implants)?		
3	Has the patient agreed to follow the procedures for explant analysis?		
4	Has the patient agreed to comply with follow-up procedures, including returning for all follow-up visits?		
	Date Informed Consent signed: day year		
EXC	LUSION CRITERIA		
If any	answer to questions 1–14 is YES , the patient must be excluded from the study.	NO 1	YES
1.	Is the patient pregnant or a nursing mother?		
2	Has the patient nursed a child within 3 months of study enrollment?		
3.	Has the patient been implanted with any other silicone implant (e.g. silicone artificial joints or facial implants)?		
4.	Does the patient have a confirmed diagnosis of the following rheumatic diseases or syndromes systemic lupus erythematosus, Sjogren's syndrome, scleroderma, polymyositis, any other connective tissue disorder, rheumatoid arthritis. crystalline arthritis, infectious arthritis, spondyarthropathies, any other inflammatory arthritic condition, osteoarthritis, fibromyalgia, chronic fatigue syndrome, or any other mechanical or degenerative non-inflammatory rheumatic condition? N/A		
5	Does the patient have any condition that would inhibit wound healing? (N/A for reconstruction patient)		
6	Does the patient have a diagnosis of active cancer of any type? (N/A for reconstruction patient)		
7	Does the patient have an infection or abscess anywhere in the body?		
8	Does the patient demonstrate tissue characteristics, which are clinically incompatible with implant (e.g. tissue damage resulting from radiation, inadequate tissue, or compromised vascularity)?		
9	Does the patient possess any condition, or is the patient under treatment for any condition, which, in the opinion of the investigator and/or consulting physician(s), may constitute an unwarranted surgical risk?		
10	Does the patient have any anatomic or physiologic abnormality, which could lead to significant postoperative complications?		
11	Does the patient demonstrate characteristics, such as inappropriate attitude or motivation, which, in the opinion of the investigator, are unreasonable/unrealistic with the risks involved with the surgical procedure?		
12	Does the patient have premalignant disease without a subcutaneous mastectomy?		
13	Does the patient have untreated or inappropriately treated breast malignancy, without mastectomy?		
14.	Does the patient have any implanted metal or metal devices, history of claustrophobia or other condition that would make a MRI scan prohibitive?		

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DATIFUT OTUDY ID	TRIAL NO	COUN	TRY NO		SITE NO		PA	TIENT NO	PATIENT INITIALS		TIALS last
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DEMOGRAPHICS											
DATE OF BIRTH MARIT.			AL STATUS EDUCATION Single 1 Less that 2 High Sci			ETHNICITY 1 African American 3 Caucasian 2 Asian 4 Other					
month day yea GENDER 1 Female	3 4 5	Divorced				ANNUAL HOUSEHOLD INCOME LEVEL 1 \$0 - \$20,000					
MEDICAL HISTORY		1									
Check here if no medical his or Complete below.	story	Absent F	Present	Year of Onset or UNK		1	f PRESE	NT, please	commen	t	
Cardiovascular Disease											
Pulmonary Disease											
Neurological Disease											
Musculoskeletal Disease											
Dermatological Disease											
Lymphatic Disease											
Genitourinary Disease											
Gastrointestinal Disease										·	
Endocrine Disease											
Allergies, specify											
Prior Surgeries, specify											
Other, specify.		_ 🗆				•					
Other, specify:											
Other, specify:											
Has the patient ever had an 1 No 2 Yes, list:		mplications?									
HABITS											
Smoking History: This is the second of the	 ed			:	Currer		ol Use:				

2 Currently smokes.

3 Quit smoking in:

year

packs per day

1 No 2 Yes:

drinks per week

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TRIAL NO COUNTRY NO 10-009 0 0 0

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SITE NO PATIENT NO

PATIENT INITIALS st middle las

INDICATION FOR SURGERY - RIGHT BREAST	Not to be Implanted with Study Device (no current implant) Not to be Implanted with Study Device (has current implant)
Indicate the primary reason for study device implantation surgery t	
AUGMENTATION, Primary Reason for augmentation: 1 Post-lactational Mammary Involution 2 General Breast Enlargement 3 Ptosis 5 Augmentation to contralateral breast for post-reconstruction symmetry 6 Other, specify.	4 REVISION, specify type of revision: 1 Reconstruction revision (if original reconstruction due to breast cancer surgery, complete the Breast Cancer History page) 2 Augmentation revision Original Implant Date.
RECONSTRUCTION, Primary Reason for reconstruction: Total-mastectomy—Immediate* Total-mastectomy—Delayed* Subtotal Mastectomy (lumpectomy, quadrantectomy)* Mastectomy/Surgery Date:	month year Primary Reason for current revision (check one): 1
Asymmetry (see Protocol for definition) Asymmetry (see Protocol for definition) Breast aplasia Deformity due to tumors (hemangiomas, lymphangiomas, giant fibroadenomas) Hypomastia Pectus Carinatum Pectus Excavatum Poland's Syndrome Scoliosis Spinal curvature Thoracic cage assymmetry Tubular breasts Other	Type of implant being exchanged Gel Gel Saline Unknown Number of previous revisions: For each previous revision, specify below: First Revision: Type of Implant Removed: Gel Gel Saline Unknown
Flap used in reconstruction? 1 No 2 Yes, indicate type: 1 TRAM 2 Latissimus dorsi 3 Other: Tissue expander in place prior to implantation? 1 No 2 Yes, date of placement:	Revision Date:
month day year	Reason for Revision:

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	TRIAL NO		COUNTRY N	0		SITE NO	SITE NO		PATIENT NO				ΓIALS
PATIENT STUDY ID:	10-009	0	0	1							fırst	middle	last
INDICATION FOR SURGERY	/ - LEFT BREA	ST				Not to be	•						
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2 AUGMENTATION, Prima 1 Post-lactational Man 2 General Breast Enla 3 Ptosis 5 Augmentation to cor post-reconstruction s 6 Other, specify	nmary Involution irgement ntralateral breas symmetry	n et for	entation	:	1	His	constructoreast ca tory pag	etion revieue) on revie	rision (ii urgery.	f origina complet	I recons te the B		
	Immediate* Delayed* y (lumpectomy, ery Date: the day reast Cancer His ext contralateral be symmetry y, check one y (see Protocol asia due to tumors (homas, giant fibro	quadra story poreast for definemant	antectom year age) for inition) giomas,		10 2 3 3 4 4 5 5 6 6 7 7 7 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Ext Mal	osular C tortion rusion lposition st-op He st-op Info sis oture e Chang e Chang ve Retri eer: lant beir	matoma ection ge-Dowl ge-Up eval	evision ure a		one)		
Flap used in reconstruction No Pectus Ca Pectus Exi Poland's S Scoliosis Flap used in reconstruction No Yes, indicate type:	rinatum cavatum cavatum dyndrome vature age assymmetr easts on? 1	s dorsi			, F	1 E 2 E 3 E Re Re	vision: pe of im Gel Salin Unkn vision D ason for	plant re e own ate Revision plant re	moved:	l L	у	<u> </u>	
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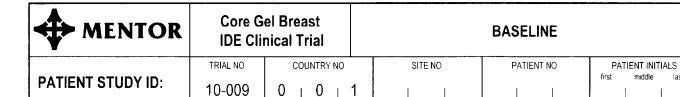
month

year

Reason for Revision

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	TRIAL NO	COUNTRY NO	SITE NO	PATIENT NO	PATIENT INITIALS first middle last
PATIENT STUDY ID:	10-009	0 0 1			ilist illidure last

BREAST CANCER HISTORY				
RIGHT BREAST N/A (never diagnosed with breast cancer)	LEFT BREAST N/A (never diagnosed with breast cancer)			
1 Date of Diagnosis:	Date of Diagnosis:			
2 Location.	2. Quadrant: Upper Medial Upper Lateral Lower Medial Lower Lateral			
3 Staging. 1 Stage Tis (In situ) 2 Stage X (cannot stage) 3 Stage I 4 Stage III 5 Stage IIIB 7 Stage IV	3 Staging. 1 Stage Tis (In situ) 2 Stage X (cannot stage) 3 Stage I 4 Stage II 5 Stage IIIA 6 Stage IIIB 7 Stage IV			
4. Pathology a Histologic type: 1	4. Pathology a Histologic type 1 Cancer, Not Otherwise Specified 2 Ductal 3 Lobular 4 Nipple 5 Other, specify:			
b Differentiation: 1	b Differentiation 1 Poor (G3–G4) 2 Moderate (G2) 3 Well (G1) 4 Cannot be assessed (GX)			
c. Estrogen receptor: 1	c Estrogen receptor: Positive Negative			
d. Progesterone receptor: 1 Positive 2 Negative	d Progesterone receptor. 1 Positive 2 Negative			
Referring Oncologist				
Name:Address:				
Phone:()				



BREAST CANCER TREATMENT HISTORY	
Type of Adjunctive Therapy	
a Radiation	
a Radiation	
2 Yes	
2 165	
b Chemotherapy:	
1 No	
2 Yes, specify drug names:	
1.	
2	
3	
4	
c. Hormonal therapy:	
1 No	
² Yes, check all that apply	
☐ Hormones	
Endocrine surgery	
Endocrine radiation	
Recommended, unknown if administered	
1 P: 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
d Biological response modifier therapy:	
1 No	
2 Yes, specify types:	4.
Recommended, unknown if administered	
e. Other breast cancer-directed therapy	
1 No	
Yes, specify types:	
Recommended, unknown if administered	
	•

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PATIENT STUDY ID:	10-009	0 0 1				

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INVESTIGATOR-COMPLETED RHEUMATIC DISEASE DIAGNOSIS QUESTIONNAIRE								
If rheumatologist has examined the patient and reports that the patient has any of the following, then patient is excluded from the study.								
Has the patient been diagnosed by a RHEUMATOLOGIST for any of	the follow	wing?						
RHEUMATIC DISEASE	ATIC DISEASE NO YES Has disease been diagnosed in a blood relative NO YES UNKNOWN							
Connective Tissue Disorders: SLE								
Sjogren's Syndrome				. 🗆				
Scleroderma								
Polymyositis								
Other Connective Tissue Disorders								
Inflammatory Arthritis: Rheumatoid Arthritis								
Crystalline Arthritis								
Infectious Arthritis								
Spondyarthropathies								
Other Inflammatory Arthritis								
Non-Inflammatory Rheumatic Conditions: Osteoarthritis								
Fibromyalgıa								
Chronic Fatigue								
Other Mechanical or Degenerative								

WHITE ORIGINAL MENTOR AFFILIATE 8/15/00

YELLOW MENTOR

PINK INVESTIGATOR SITE

◆ MENTOR		el Breast nical Trial	BASELINE				
DATIENT OTHEW ID	TRIAL NO	COUNTRY NO	SITE NO	PATIENT NO	PATIENT INITIALS first middle last		
PATIENT STUDY ID:	10-009	0 0 1					

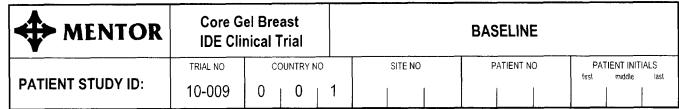
RHEUMATOLOGY SYMPTOMS] No syr	nptoms	present		
Please check any current symptoms which: 1 The patient experiences on a regular basis									
2 The cause is unknown and			d to an	y patient activity					
RHEUMATOLOGICAL SYSTEMS REVIEW	YES	DATE OF O (if know month		RHEUMATOLOGICAL SYSTEMS REVIEW	YES		OF ONSET known) year		
Loss of weight without dieting				Numbness of hands					
Fatigue				Jaw pain					
Insomnia				Open sores					
Weakness				Redness of eyes					
Exhaustion				Dryness of mouth					
Joint swelling				Back pain/stiffness					
Heel pain				Severe chest pains					
Frequent muscle cramps				Chronic cough					
Numbness of feet				Difficulty swallowing					
Ringing in ears				Frequent, severe or persistent diarrhea or constipation					
Pain/grittiness in eyes				Severe rashes					
Dryness of eyes, nose				Frequent muscle cramps					
Pain on swallowing or chewing				Severe dryness of skin					
Neck pain/stiffness				Tender lumps/bumps					
Pain on breathing				Excessive sensitivity to sun					
Heart murmurs				Color changes on hands or feet with cold exposure					
Loss of appetite				Joint pain					
Persistent fever				Frequent hives					
Night sweats				Numbness of hands					
Generalized aching				Tightness of skin					
Loss of height				Unusual hair loss					
Joint pain				Tenderness of scalp					
Frequent muscle pain				Severe bruising with little or no injury					

◆ MENTOR		el Breast nical Trial	BASELINE			
	TRIAL NO	COUNTRY NO	SITE NO	PATIENT NO	PATIENT INITIALS	
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RHEUMATOLOGICAL PHYSICAL EXAMINAT	☐ No symptoms present							
Check the box for each physical finding noted to be present and outside normal limits.								
PHYSICAL FINDING	PRESENT AND OUTSIDE NORMAL LIMITS	PHYSICAL FINDING	PRESENT AND OUTSIDE NORMAL LIMITS	POSSIBLE INDICATION				
Muscle weakness. Headlift from supine position against gravity		Hair loss		Lupus, Scleroderma				
Inability to raise arms		Skin tightness, especially face and hands		Scleroderma				
Inability to get out of chair		Raynaud's phenomenon		Lupus, Scleroderma				
Joint swellings: Wrists		Calcinosis over tibia, ulna, elbows		Scleroderma, Dermatomyositis				
Digits		Swollen digits		Juvenile RA Reiter's syndrome Psoriatic artinitis Early sclerogerma Reflex sympathetic dystrophy				
Elbows		Erythema over knuckles		Dermatomyositis				
Knees		Bluish hue color on eyelids		Dermatomyositis				
Ankles		Non-tender lumps or nodules on elbows		Rheumatoid gout				
Joint deformities and flexion contracture: Boutonniére ¹		Tender lumps-tıbia		Erythema nodosum				
Ulnar drift ²		Painless eye redness		Conjunctivitis				
Swan neck³		Painful eye redness with decreased vision, small pupils		Uveitis				
Trigger fingers		Tenderness—insertion of deltoids		Polymyalgıa rheumatica				
Joint tenderness		Muscle tenderness		Polymyositis				
Grip strength and motion-finger to palm crease (normal = 0 cm)		Nail pittings		Psoriatic arthritis, Reiter's syndrome				
Neck motion-chin to chest or sternum (normal = 0 cm)		Tinels or Phalen's signs		Carpal tunnel syndrome				
Chest expansion (normal = at least 5 cm)		Skın rashes		Discoid lupus				
Occiput to wall (normal = 0 cm)		¹ Boutonnière - Contractures of hand mu interphalangeal joint flexion and distal in						
Jaw motion (normally upper-lower incisors 3 cm)		² Ulnar Drift - Joint change at the metacarpophalangeal joints. Long axis of fingers deviate in an ulnar direction						
Back motion-measure 10 cm above posterior supine iliac spines-with forward bending motion at least 5 cm from first point (total distance at least 15 cm)		3 Swan Neck - Finger deformity characterized by flexion of distal interphalangeal joints and hyperflexion of the proximal interphalangeal joints due to hypermobility						
Does the patient require an exam by a rheumNoYesRheumatologist's Name:	natologist?	2. If yes, rheumatologist's fin- Presence of rheur No indication of rh	natic disease I					

♦ MENTOR		el Breast nical Trial	BASELINE		
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PHYSICAL EXAMINATION							
		HEIGI (in)		WEIGHT (lb)			
			.[]				
Check the appropris	Check the appropriate box for each body system indicated.						
ND = Not Done N = Normal A = Abnormal, comment is required							
	ND	N_	Α	COMMENT ONLY IF ABNORMAL			
HEENT							
Cardiovascular							
Pulmonary							
Neurological							
Musculoskeletal							
Dermatological				<u>.</u>			
Lymphatic							
Genitourinary							
Gastrointestinal							



BREAST EXAM HISTORY	
Number of Pregnancies. (if none, enter "00")	
Complications? 1 No 2 Yes, number of complications:	
Number of Live Births (if none, enter "00")	
Breastfeeding? 1 No 2 Yes, was there adequate milk? 1 No 2 Ye	s, Number of Breastfed Children (if none, enter "00")
Age of Menarche	
Age of Menopause:	
Family History of Breast Cancer? 1 No 2 Yes	
History of Fibrocystic Disease? 1 No 2 Yes	
PREVIOUS BREAST SURGERY (excluding mastectomy)	
RIGHT 0 None Number of Previous Surgeries: List most recent: Surgery Type:	LEFT 0 None Number of Previous Surgeries. List most recent: Surgery Type:
Reason.	Reason:
Date:	Date:
BREAST BIOPSY	
RIGHT 0 None List most recent: Biopsy Type.	LEFT 0 None List most recent: Biopsy Type:
Reason:	Reason:
Date:	Date: J J J J J year
Result. 1 Negative 2 Positive	Result· 1 Negative 2 Positive

◆ MENTOR		el Breast nical Trial	BASELINE		
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BREAST MEASUREMENTS					
RIGHT 0 Not to be Implanted with Study Device	LEFT 0 Not to be Implanted with Study Device				
Circumferential Breast Measurement cm	Circumferential Breast Measurement cm				
Bra Sıze (i e 32A) N/A (breast absent)	Bra Size (i.e. 32A) N/A (breast absent)				
NIPPLE/BREAST SENSITIVITY - How would patient describe t	he feeling in nipple(s) and/or breast(s) now?				
RIGHT 0 Not to be Implanted with Study Device Nipple Sensation is: 1 Unacceptably low 2 Acceptable 3 Unacceptably high 4 N/A, nipple absent	LEFT 0 Not to be Implanted with Study Device Nipple Sensation is: 1 Unacceptably low 2 Acceptable 3 Unacceptably high 4 N/A, nipple absent				
Breast sensation is: 1	Breast sensation is: 1 Unacceptably low 2 Acceptable 3 Unacceptably high 4 N/A, breast absent				
MAMMOGRAPHY RESULTS					
Date of Most Recent Mammogram:	Not Done				
RIGHT Birads 0 Needs additional imaging evaluation	LEFT 1 Brads 0 Needs additional imaging evaluation 2 Brads 1 Negative 3 Brads 2 Benign finding 4 Brads 3 Probable benign finding—short interval follow-up is suggested 5 Birads 4 Suspicious abnormality—biopsy should be considered 6 Birads 5 Highly suggestive of malignancy—appropriate action should be taken List abnormality:				
I have reviewed the Baseline Case Report Forms and have verified	d that all data are accurate				
	ı				

◆ MENTOR		el Breast nical Trial			
	TRIAL NO	COUNTRY NO	SITE NO	PATIENT NO	PATIENT INITIALS first middle last
PATIENT STUDY ID:	10-009	0 0 1			

	ROSENBERG SELF-ESTEEM SCALE (Page 1 of 1)								
	THE PATIENT: Below are some statements with which some pech statement and check one response to each statement.	ople agree	and disagr	ee. Please	read				
		Strongly Agree	Agree	Disagree	Strongly Disagree				
1.	I feel that I am a person of worth, at least on an equal basis with others.								
2.	I feel that I have a number of good qualities.								
3.	All in all, I am inclined to feel that I am a failure.								
4.	I am able to do things as well as most people.								
5.	I feel I do not have much of which to be proud.								
6	I take a positive attitude towards myself.								
7.	On the whole, I am satisfied with myself.								
8.	I wish I could have more respect for myself.								
9.	I certainly feel useless at times.								
10.	At times I think I am no good at all.								



BASELINE

PATIENT STUDY ID:

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PATIENT NO

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TENNESSEE SELF-CONCEPT SCALE (Page 1 of 4)

SITE NO

TO THE PATIENT: The statements below are to help you describe yourself as you see yourself. Please respond to them as if you were describing yourself **to yourself**. Do not omit any item. Read each statement carefully, then select one of the five responses listed below. On the test, put a **circle** around the response you chose. If you want to change an answer after you have circled it, do not erase it but put an X mark through the response and then circle the response you want.

Remember, put a circle around the response number you have chosen for each statement.	Completely False	Mostly False	Partly True and Partly False	Mostly True	Completely True
1. I have a healthy body.	1	2	.3	4	5
2. I am an attractive person.	1	2	3	4	5
3. I consider myself a sloppy person.	1	2	3	4	5
4. I am a decent sort of person.	1	2	3	4	5
5. I am an honest person.	1	2	3	4	5
6. I am a bad person.	1	2	3	4	5
7. I am a cheerful person.	1	2	3	4	5
8. I am a calm and easygoing person.	1	2	3	4	5
9. I am a nobody.	1	2	3	4	5
10. I have a family that would always help me in any kind of trouble.	1	2	3	4	5
11. I am a member of a happy family.	1	2	3	4	5
12. My friends have no confidence in me.	1	2	3	4	5
13. I am a friendly person.	1	2	3	4	5
14. I am popular with men.	1	2	3	4	5
15. I am not interested in what other people do.	1	2	3	4	5
16. I do not always tell the truth.	1	2	3	4	5
17. I get angry sometimes.	1	2	3	4	5
18. I like to look nice and neat all the time	1	2	3	4	5
19. I am full of aches and pains.	1	2	3	4	5
20. I am a sick person.	1	2	3	4	5
21. I am a religious person.	1	2	3	4	5
22. I am a moral failure.	1	2	3	4	5
23. I am a morally weak person.	1	2	3	4	5



BASELINE

PATIENT STUDY ID:

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TENNESSEE SELF-CONCEPT SCALE (Page 2 of 4)								
Remember, put a circle around the response number you have chosen for each statement.	Completely False	Mostly False	Partly True and Partly False	Mostly True	Completely True			
24. I have a lot of self-control.	1	2	3	4	5			
25 I am a hateful person.	1	2	3	4	5			
26 I am losing my mind.	1	2	3	4	5			
27 I am an important person to my friends and family.	1	2	3	4	5			
28. I am not loved by my family.	1	2	3	4	5			
29 I feel that my family doesn't trust me.	1	2	3	4	5			
30 I am popular with women.	1	2	3	4	5			
31 I am mad at the whole world.	1	2	3	4	5			
32 I am hard to be friendly with.	1	2	3	4	5			
33. Once in a while I think of things too bad to talk about.	1	2	3	4	5			
34. Sometimes when I am not feeling well, I am cross.	1	2	3	4	5			
35 I am neither too fat nor too thin.	1	2	3	4	5			
36 I like my looks just the way they are.	1	2	3	4	5			
37. I would like to change some parts of my body.	1	2	3	4	5			
38. I am satisfied with my moral behavior.	1	2	3	4	5			
39. I am satisfied with my relationship to God.	1	2	3	4	5			
40. I ought to go to church more.	1	2	3	4	5			
41. I am satisfied to be just what I am.	1	2	3	4	5			
42. I am just as nice as I should be.	1	2	3	4	5			
43. I despise myself.	1	2	3	4	5			
44. I am satisfied with my family relationships.	1	2	3	4	5			
45. I understand my family as well as I should.	1	2	3	4	5			
46. I should trust my family more.	1	2	3	4	5			
47. I am as sociable as I want to be.	1	2	3	4	5			
48. I try to please others, but I don't overdo it.	1	2	3	4	5			
49. I am no good at all from a social standpoint.	1	2	3	4	5			



BASELINE

PATIENT STUDY ID:

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SITE NO

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PATIENT NO

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TENNESSEE SELF-CONCEPT SCALE (Page 3 of 4)								
Remember, put a circle around the response number you have chosen for each statement.	Completely False	Mostly False	Partly True and Partly False	Mostly True	Completely True			
50. I do not like everyone I know.	1	2	3	4	5			
51 Once in a while, I laugh at a dirty joke.	1	2	3	4	5			
52 I am neither too tall nor too short.	1	2	3	4	5			
53. I don't feel as well as I should.	1	2	3	4	5			
54 I should have more sex appeal.	1	2	3	4	5			
55 I am as religious as I want to be.	1	2	3	4	5			
56 I wish I could be more trustworthy.	1	2	3	4	5			
57 I shouldn't tell so many lies.	1	2	3	4	5			
58 I am as smart as I want to be.	1	2	3	4	5			
59. I am not the person I would like to be.	1	2	3	4	5			
60 I wish I didn't give up as easily as I do.	1	2	3	4	5			
61. I treat my parents as well as I should. (Use past tense if parents are not living.)	1	2	3	4	5			
62. I am too sensitive to things my family says.	1	2	3	4	5			
63. I should love my family more.	1	2	3	4	5			
64. I am satisfied with the way I treat other people.	1	2	3	4	5			
65. I should be more polite to others.	1	2	3	4	5			
66. I ought to get along better with other people.	1	2	3	4	5			
67. I gossip a little at times.	1 .	2	3	4	5			
68. At times I feel like swearing.	1	2	3	4	5			
69. I take good care of myself physically.	1	2	3	4	5			
70. I try to be careful about my appearance.	1	2	3	4	5			
71 I often act like I am "all thumbs".	1	2	3	4	5			
72. I am true to my religion in my everyday life.	1	2	3	4	5			
73. I try to change when I know I'm doing things that are wrong.	1	2	3	4	5			
74. I sometimes do very bad things.	1	2	3	4	5			
75. I can always take care of myself in any situation.	1	2	3	4	5			



BASELINE

PATIENT STUDY ID:

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SITE NO

PATIENT NO

PATIENT INITIALS first middle last

TENNESSEE SELF-CONCEPT SCALE (Page 4 of 4)							
Remember, put a circle around the response number you have chosen for each statement.	Completely False	Mostly False	Partly True and Partly False	Mostly True	Completely True		
76. I take the blame for things without getting mad.	1	2	3	4	5		
77 I do things without thinking about them first.	1	2	3	4	5		
78 I try to play fair with my friends and family.	1	2	3	4	5		
79 I take a real interest in my family.	1	2	3	4	5		
80. I give in to my parents. (Use past tense if parents are not living.)	1	2	3	4	5		
81 I try to understand the other fellow's point of view.	1	2	3	4	5		
82. I get along well with other people.	1	2	3	4	5		
83. I do not forgive others easily.	1	2	3	4	5		
84. I would rather win than lose in a game.	1	2	3	4	5		
85. I feel good most of the time.	1	2	3	4	5		
86 I do poorly in sports and games.	1	2	3	4	5		
87. I am a poor sleeper.	1	2	3	4	5		
88. I do what is right most of the time.	1	2	3	4	5		
89. I sometimes use unfair means to get ahead.	1	2	3	4	5		
90. I have trouble doing the things that are right.	. 1	2	3	4	5		
91. I solve my problems quite easily.	1	2	3	4	5		
92. I change my mind a lot.	1	2	3	4	5		
93. I try to run away from my problems.	1	2	3	4	5		
94. I do my share of work at home.	1	2	3	4	5		
95. I quarrel with my family.	1	2	3	4	5		
96. I do not act like my family thinks I should.	1	2	3	4	5		
97. I see good points in all the people I meet.	1	2	3	4	5		
98. I do not feel at ease with other people.	1	2	3	4	5		
99. I find it hard to talk with strangers.	1	2	3	4	5		
100. Once in a while I put off until tomorrow what I ought to do today.	1	2	3	4	5		



BASELINE

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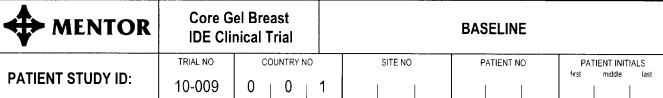
PATIENT NO PATIENT INITIALS first middle last

SF-36 (Page 1 of 3)

TO THE PATIENT: This survey asks for your views about your health. This information will help keep track of how you feel and how well you are able to do your usual activities.

Answer each question by circling **one** number. If you are unsure about how to answer a question, please give the best answer you can.

1	In general, would you say your health is: (Circle one number)		Very Good	Good	Fair	Poor
		1	2	3	4	5
2.	Compared to one year ago, how would you rate your health in	Much Better Now	Somewhat Better Now	About the Same	Somewhat Worse Now	Much Worse Now
	general now? (Circle one number)	1	2	3	4	5
3.	The following questions are about activities you might do during a ty Does your health now limit you in these activities? If so, how muc (Circle one number for each question.)	/.	Yes, Limited a Lot	Yes, Limited a Little	No, Not Limited at All	
	Vigorous activities, such as running, lifting heavy objects, participa strenuous sports.	ting in		1	2	3
	Moderate activities , such as moving a table, pushing a vacuum cle. or playing golf	1	2	3		
	Lifting or carrying groceries					3
	Climbing several flights of stairs	1	2	3		
	Climbing one flight of stairs			1	2	3
	Bending, kneeling, or stooping					3
	Walking more than a mile					3
	Walking several blocks					3
	Walking one block					3
	Bathing or dressing yourself			1	2	3



	10-009 0 0 1						
	SF-36 (Page 2 of	f 3)					
l	During the past 4 weeks , have you had any of the following pregular daily activities as a result of your physical health? (Circle one number for each question.)	problems	s with you	ur work	or other	YES	NO
	Cut down on the amount of time you spent on work or oth	ner activi	ties			1	2
	Accomplished less than you would like					1	2
	Were limited in the kind of work or other activities					1	2
	Had difficulty performing the work or other activities (for e	xample,	it took e:	xtra effo	rt)	1	2
١	During the past 4 weeks , have you had any of the following pregular daily activities as a result of any emotional problem anxious)? (Circle one number for each question.)	oroblems ns (such	s with you as feelin	ur work (g depres	or other ssed or	YES	NO
Cut down on the amount of time you spent on work or other activities							
Accomplished less than you would like							2
	Didn't do work or other activities as carefully as usual					1	2
6.	During the past 4 weeks , to what extent has your physical or emotional problems interfered with your normal social ac		Not at All	Slightly	Moderately	Quite a Bit	Extremely
	with family, friends, neighbors, or groups? (Circle one num		1	2	3	4	5
7.	How much bodily pain have you had during the past 4 weeks? (Circle one number)	None	Very Mıld	Mild	Moderate	Severe	Very Severe
	F	1	2	3	4	5	6
8.	During the past 4 weeks , how much did pain interfere with normal work (including both work outside the home and	your	Not at All	A Little Bit	Moderately	Quite a Bit	Extremely
	normal work (including both work outside the home and housework)? (Circle one number)		1	2	3	4	5



BASELINE

PATIENT STUDY ID:

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SF-36 (Page 3 of 3)

9. These questions are about how you feel and how things have been with you **during the past 4 weeks**. For each question, please indicate the one answer that comes closest to the way you have been feeling. (Circle **one** number for each question.)

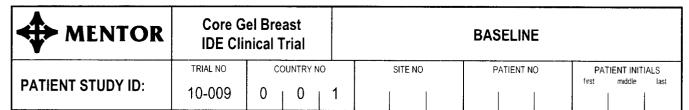
How much of the time during the past 4 weeks	All of the Time	Most of the Time	A Good Bit of the Time	Some of the Time	A Little of the Time	None of the Time
Did you feel full of pep?	1	2	3	4	5	6
Have you been a very nervous person?	1	2	. 3	4	5	6
Have you felt so down in the dumps that nothing could cheer you up?	1	2	3	4	5	6
Have you felt calm and peaceful?	1	2	3	4	5	6
Did you have a lot of energy?	1	2	3	4	5	6
Have you felt downhearted and blue?	1	2	3	4	5	6
Did you feel worn out?	1	2	3	4	5	6
Have you been a happy person?	1	2	3	4	5	6
Did you feel tired?	1	2	3	4	5	6

Αli Most 10. During the past 4 weeks, how much of the time has your Some A Little of the of the of the of the of the physical health or emotional problems interfered with your Time Time Time Time Time social activities (like visiting with friends, relatives, etc.)? (Circle one number.) 1 2 3 4 5

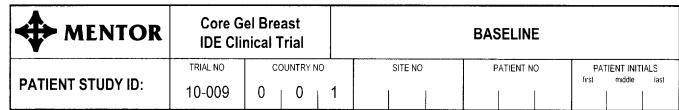
11. How TRUE or FALSE is each of the following statements for you? (Circle one number for each question.)		Mostly True	Don't Know	Mostly False	Definitely False
I seem to get sick a little easier than other people	1	2	3	4	5
I am as healthy as anybody I know	1	2	3	4	5
I expect my health to get worse	1	2	3	4	5
My health is excellent	1	2	3	4	5

◆ MENTOR		el Breast nical Trial	BASELINE				
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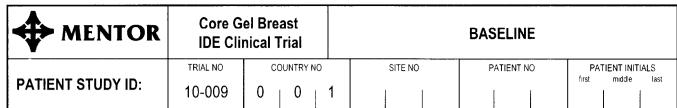
BODY ESTEEM SCALE (Page 1 of 1)										
TO THE PATIENT: On this page are listed a number of body parts and functions. Please read each item and indicate how you feel about this part or function of your own body , using the following scale:										
 1 = Have strong negative feelings 2 = Have moderate negative feelings 3 = Have no feeling one way or the other 4 = Have moderate positive feelings 5 = Have strong positive feelings 										
1.	. Body Scent	19.	Arms							
2.	Appetite	20.	Chest							
3.	Nose	21.	Appearance of Eyes							
4.	. Physical Stamina	22.	Cheeks/Cheekbones							
5.	. Reflexes	23.	Hips							
<u> </u>	. Lips	24.	Legs							
7.	. Muscular Strength	25.	Physique							
8.	. Waist	26.	Sex Drive							
9.	. Energy Level	27.	Feet							
10.	. Thighs	28.	Sex Organs							
11.	. Ears	29.	Appearance of Stomach							
12.	Biceps	30.	Health							
13.	. Chin	31.	Sex Activities							
14.	Body Build	32.	Body Hair							
15.	. Physical Coordination	33.	Physical Condition							
16.	Buttocks	34.	Face							
17.	Agility	35.	Weight							
18.	Width of Shoulders									



MA	ANITOBA CANC	ER TREATMEN	NT & RESEAR	RCH FOUNDATIO Page 1 of 3	ON FUNCTIONAL L		ANCER (FLIC) a cancer patient)
TO:	THE PATIENT:	Please indicat	e your rating	by drawing a ver	rtical line ().		,
1.	Most people	experience sor	me feelings of	f depression at ti	times. Rate how of	ften you feel the	se feelings.
	1	2	3	4	5	6	7
	Never					Co	ontinually
2.	How well are	you coping wit	th your everyo	day stress?	ı	1	I
	1	2	3	4		6	
	Not Well	-	<u>.</u>		-	•	Very Well
3.	How much tin	ne do you sper	nd thinking at	bout your illness?	?	I	I
	1	2	3	I 4	5	I6	<u> </u>
	Constantly	2	J	7	J	U	Never
 4. 5. 	1 Able	2	3	ecreation or leisu	ure activities. 5	6	 7 Unable
IJ.	Mas Hausta a	affected your da	ally functioning	ig? 			I
	1 Not At All	2	3	4	5	6 A Gr	7 reat Deal
6.	How well do y	ou feel today?	l				ĺ
	1	2	3	4	5	6	7
7.	Extremely Poo		maka a maal	or do minor hour	sehold repairs toda		nely Well
1.	Do you loof w	all enough to h	Hake a mear	Ji do minor nous	sendia repairs touc	ay :	
	1	2	3	4	5	6	 7
	Very Able					ı	Not Able



MA	NITOBA CANCER TREATM	ENT & RESEAR	CH FOUNDATION Page 2 of 3	N FUNCTIONAL L		
			Page 2 01 3		□ N/A (not a	a cancer patient)
8.	Rate the degree to which yo	our cancer has in	nposed a hardshi	ip on those closes	t to you in the pa	st 2 months.
	1 2	3	4	5	6	7
	No Hardship				Tremen	dous Hardship
0	Data harrafta a a facilit					
9.	Rate how often you feel di	scouraged abou	it your life.	I	1	ı
	1 1	I	<u> </u>	<u> </u>		
	1 2	3	4	5	6	7 Navan
	Always					Never
10.	Rate your satisfaction with	vour work and	vour iobs around	d the house in the	e nast month	
						1
	1 2	3	4	5	6	 7
	Very Dissatisfied			_	Verv	Satisfied
	•				,	
11.	How uncomfortable do you	ı feel today?				
				1		
	1 2	3	4	5	6	7
	Not at All				Very Un	comfortable
40	Data in commentation to	.P. C				
12.	Rate in your opinion, how	aisruptive your o	cancer has been	to those closest	to you in the pa	st 2 weeks.
	1	1	<u> </u>			<u> </u>
	1 2 Totally Disruptive	3	4	5	6 Na D	7
	Totally Distuplive				NO D	isruption
13.	How much is pain or disco	mfort interfering	with your daily:	activities?		
,					İ	
	1 2	3	4	5	6	<u>'</u>
	Not at All	Ū		Ŭ	-	eat Deal
					,,,,,,	out Dour
14.	Rate the degree to which y	our cancer has	imposed a hard	ship on you (pers	sonally) in the pa	ıst 2 weeks.
	1 2	3	4	5	6	7
	Tremendous Hardship				No H	Hardship



MA	NITOBA CANCER	TREATMENT &			FIONAL LIVING IN	IDEX: CANCER (FLIC)
			Page	3 of 3		N/A (not a cancer patient)
15.	How much of vo	our usual househ	old tasks are you	able to complet	re?	
,						
		2	3	4	5	6 7
	All					None
16	موالانس سوط منح	· · · · · · · · · · · · · · · · · · ·	- and anoughtime	ممماد حصمانا علنان	6.4 =	
16.	Rate now willing	, you were το see	e and spend time	with those close	est to you, in the p	past 2 weeks.
	1	2	3 4	4	5	<u>1</u>
	Unwilling	_	-			Very Willing
						, o, j, , ,
17.	How much naus	ea have you had	in the past 2 we	eks?	ı	•
				<u> </u>		
	None 2	2	3 4	4	5	6 7
	None					A Great Deal
18.	Rate the degree	to which you are	e frightened of the	e future.		
	I		!			
			3 4	4 :	5	6 7
	Constantly Terrif	ied				Not Afraid
1Ω	Poto how willing	way wara ta sac	and anond time	···lith frianda in ti	La maat O wooka	
19.	Rate now willing	you were to see	e and spend time	With Triends, in tr	ne past ∠ weeks.	1]
	1 ,	2 :	3 4	1	5 (<u>1</u>
	Unwilling	-	,	,	,	Very Willing
	J					, , , , , , , , , , , , , , , , , , ,
20.	How much of you	ur pain or discon	nfort over the pas	t 2 weeks was re	elated to your car	ncer?
				İ		
	_	2 :	3 4	r	5	6 7
	None					All
21.	Rate your confid	ence in vour pre	scribed course of	treatment		
٠				l Caunon.	1	l I
	1 2	2 3	3 4	1	5 6	5 7
	No Confidence					Very Confident
						•
00	13 conflictations	0				
22.	How well do you	appear today?	1 1	ı	I	i
	1 2	1	<u> </u>	1	<u> </u>	1
	Extremely Poor	<u>'</u>	3 4	•	5 6	Extremely Well
	LAHOINGIA 1 001					Extremely wen

MENTOR	Core Gel Breast IDE Clinical Trial		BASELINE				
	TRIAL NO	COUNTRY NO	SITE NO	PATIENT NO	PATIENT INITIALS		
PATIENT STUDY ID:	10-009	0 0	1 , ,		first middle last		

PREVIOUS AND CONCOMITANT MEDICATIONS Please record all medications taken within 30 days prior to Baseline Visit (include non-prescription drugs such as herbs, vitamins, etc.) and all medications taken during the preoperative period through the end of the Operative Visit STOP DATE (if continuing, circle "C") START DATE DRUG NAME INDICATION month day year month day year С С С С С С С С С С С С С С C С C



OPERATIVE REPORT

PATIENT	STUDY	ID:
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TRIAL NO	CC	UNTRY N	0	SIT	E NO	PATIENT	T NO	PA1	TIENT INITI middle	IALS las
10-009	0	0	1					m 31	Imquie	

SURGICAL INFORMATION		
Date of Surgery: day year		
Institution at which surgery took place.		
Anesthesia Type (check all that apply) General Local Local with Sedation Other, specify:	1 No	cal procedures performed at this time? .
	RIGHT Not Implanted With Study Device	LEFT Not Implanted With Study Device
Device Placement.	1 Submuscular 2 Subglandular 3 Subpectoral 4 Other	1 Submuscular 2 Subglandular 3 Subpectoral 4 Other:
Incision Size.	cm	cm
Implant Information	1 Smooth Surface 2 Textured Surface Device Volume cc	Smooth Surface Textured Surface Device Volume.
Catalog Number:		
Lot Number		
Surgical Approach	Periareolar Inframammary Transaxillary Mastectomy Scar Other:	Periareolar Inframammary Transaxillary Mastectomy Scar Other
Pocket Irrigation (check all that apply):	Saline Only Steroid: Dose: Antibiotic Drug: Other.	Saline Only Steroid: Dose: Antibiotic: Drug. Other:
Post-Operative Recommendations (check all that apply):	Antibiotic. Restricted Activities Recommend Massage Other	Antibiotic: Restricted Activities Recommend Massage Other:

Complete Adverse Events Report if the patient experienced any operative complications or adverse events.

◆ MENTOR	Core Gel Breast IDE Clinical Trial		OPERATIVE REPORT			
PATIENT STUDY ID:	TRIAL NO 10-009	COUNTRY NO	1	SITE NO	PATIENT NO	PATIENT INITIALS first middle last

Check on	ie.		
RIGHT	° [N/A (not implanted with study device)	
	1 📙	Satisfied	
	2	Dissatisfied, specify:	
LEFT	. 🖂	N/A (not implanted with study device)	
LEFI	0	N/A (not implanted with study device) Satisfied	
	1	Dissatisfied, specify ⁻	
	2	Dissalisileu, specily	
			1 1 1

♦ MENTOR

Core Gel Breast IDE Clinical Trial

PATIENT REGISTRY FORM Please type or legibly print all requested information.

PATIENT STUDY ID:

 TRIAL NO
 COUNTRY NO
 SITE NO
 PATIENT NO
 PATIENT INITIALS first middle last

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I. DEVICE INFORMATION	
Implantation Date month day year	
RIGHT SIDE	LEFT SIDE
Product Name.	Product Name:
Cat. No	Cat No
Lot No.:	Lot No.:
II. PATIENT INFORMATION Patient Name: Last First Address. Street Address	Phone No : () City State Zip Code Country
Date of Birth: day year	Social Security No
III. IMPLANTING SURGEON INFORMATION	
Name.	Phone No ()
Address: Street Address	City State Zip Code Country
Name of facility where surgery was performed:	

6 MONTH VISIT

PAT		CTI	IDV	10
PAI	I M HI	211	31) Y	11 1

TRIAL NO	COUNTRY NO	SITE NO	PATIENT NO	PATIENT INITIALS
10-009	0 0 1			first middle last

Visit Date day year	∘
BREAST MEASUREMENTS	
RIGHT 0 Not Implanted with Study Device	LEFT ₀ ☐ Not Implanted with Study Device
Circumferential Breast Measurement cm	Cırcumferential Breast Measurement cm
Bra Sıze (i.e. 32A)	Bra Size (i.e. 32A)
MAMMOGRAPHY RESULTS	
Date of Mammogram	Not Done
RIGHT 1	LEFT 1 Birads 0 Needs additional imaging evaluation 2 Birads 1 Negative 3 Birads 2 Benign finding 4 Birads 3 Probable benign finding—short interval follow-up is suggested 5 Birads 4 Suspicious abnormality—biopsy should be considered 6 Birads 5 Highly suggestive of malignancy—appropriate action should be taken List abnormality.
VISIT REPORT	
1. Has the patient become pregnant since the last visit? 1 No 2 Yes, without complications	4. Breast Cancer Reconstruction Patients only: N/A (augmentation patient)
3 Yes, with complications	Has the patient received any adjuvant therapies since the
Complete Adverse Events Report Has the patient attempted to breastfeed since the last visit? No Yes, was there adequate milk? No (Enter code 30 on Adverse Events Report)	last visit? 1 No 2 Yes, check all that apply: Radiation Therapy Chemotherapy Other, specify
² Yes	Treating Oncologist
Would the patient have this breast surgery again? No, reason: Yes	Name

♦ MENTOR	Core Gel Breast IDE Clinical Trial	6 MONTH VISIT								
PATIENT STUDY ID:	TRIAL NO COUNTRY NO 10-009 0 0	SITE NO PATIENT NO PATIENT INITIALS first middle las								
CAPSULAR CONTRACTURI	E ASSESSMENT OF PROSTHE	SIS								
l <u> </u>	,	Baker Class I (normally soft and natural appearance)								
Complete Adverse Events Report for Baker III or IV.										
NIPPLE/BREAST SENSITIVITY										
How would patient de	How would patient describe the feeling in nipple(s) and/or breast(s) now?									
If unacceptably high or Report.	low at baseline, and sensation r	emains the same post-operatively, do not complete an Adverse Events								
If acceptable at baselin	e and has become unacceptable	post-operatively, complete an Adverse Events Report.								
RIGHT 0 Not impl	lanted with Study Device	LEFT 0 Not Implanted with Study Device								
Nipple Sensation is.		Nipple Sensation is:								
1 Unacceptably low		1 Unacceptably low								
2 Acceptable		2 Acceptable								
3 Unacceptably high		3 Unacceptably high								
4 N/A. nipple absent		△ N/A. nipple absent								
Breast sensation is:		Breast sensation is:								
1 Unacceptably low		1 Unacceptably low								
2 Acceptable		2 Acceptable								
<u> </u>		3 Unacceptably high								
3 Unacceptably high		3 [] Unacceptably high								

WHITE ORIGINAL MENTOR AFFILIATE 8/15/00

						_																
	- MEI	NTOR	Core C			1			AD	VER	SE EVEN	TS				6	6 MONTH VISIT					
			TRIAL	40	C	OUNTRY	NO		SITE NO	PATIENT NO PATIENT INITIALS												
PATI	ENT STU	JDY ID:	10-0	09	0	0	1						first	middle	last	□ No A	dverse Events					
Enter	one adve	rse event pe	er line If the	event	was ex	perien	ced at	more th	an one body	site, e	enter each b	ody site o	on a se	parate l	ine							
(See	CAUS 1 = Procedi 2 = Device 3 = Unknov 4 = Other	related vn	EVENT SIDE 0 = N/A 1 = Right 2 = Left	BREA 0 = N 1 = M	ild oderate		ONSET DATE day		SEVERITY 1 = Mild 2 = Moderate 3 = Severe	TREATMENT REQUIRED (check all that apply) 1 = No Treatment 2 = Medication (specify) 3 = Secondary Procedure (enter Procedure Type Code and date below, and con Secondary Procedures Report for all procedures performed for this date)** 4 = Hospitalization (specify # of days and admission date) 5 = Other (specify)				and date below, and comple	OUTCOME 1 = Resolved 2 = Ongoing 3 = Death 4 = Unknown		ESOLU DATE day					
	□1	□ 3	□0	□0	□3				□ 1	□ 1					4	days, Date//_	_					
	□ 2	□ 4	□1	□1					□ 2	□ 2					5		_ 🗆 2					
			□2	□2					□ 3	□ 3	Procedure	Type Code	et:			oate:/						
	□1	□ 3	□0	□0	□3					□ 1						days, Date//						
	□ 2		□1						□ 2	1												
			□2 —————	□2					□ 3	□ 3	Procedure	Type Code	et:	Pro	cedure D	ate/	_ □3					
 Investi	gator's Signat	ture					•		month		day	year				econdary Procedures complete Re-Implanta		study d	evice	is		
							*,	ADVERS	E EVENT COD	ES							†SECONDARY PRO	OCEDURI	E TYPE	CODES		
2 = Baker II Capsular Contracture with Surgical Intervention 16 = 3 = Baker III Capsular Contracture 17 = 4 = Baker IV Capsular Contracture 18 = 5 = Breast pain not associated with any other complication 19 = 6 = Breast—Unacceptably Low Sensitivity 20 = 8 = Calcification 21 = 9 = Delayed Wound Healing 22 = 10 = Extrusion 23 = 11 = Granuloma 24 = 12 = Hematoma 25 = 13 = Hypertrophic Scarring 26 =			15 = Lymphadenopathy 28 = Lac 16 = Necrosis 17 = New Diagnosis of Breast Cancer 18 = New Diagnosis of Rheumatic Disease, specify						28 = Lactation Difficulties, specify. 29 = Other, specify 30 = Other, specify			81 = Biopsy 82 = Capsulectomy 83 = Explantation with Replacement** 84 = Explantation without Replacement 85 = Incision and Drainage 86 = Mastopexy 87 = Open Capsulotomy 88 = Position Change 89 = Scar Revision 90 = Skin Adjustment 91 = Other, specify 92 = Other, specify										

♦ MENTOR		el Breast nical Trial	6 MONTH VISIT							
PATIENT STUDY ID:	TRIAL NO	COUNTRY NO	SITE NO	PATIENT NO	PATIENT INITIALS first middle last					
PATIENT STUDT ID:	10-009	0 0 1	1							

CONCOMITANT MEDICATIONS									
Please record all medications (include n	on-prescription drugs such as he	erbs, vitamin	s, etc.) START		e the las		OP DATE		
DRUG NAME	INDICATION		SIAKII	JAIE	(if continuing, circle "C")				
		month	day	year	month	day	year	T	
								С	
								С	
								С	
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	MENTO	R
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6 MONTH VISIT

PATIENT INITIALS

middle

first

PATIENT STUDY ID:

TRIAL NO | COUNTRY NO | SITE NO | PATIENT NO | 10-009 | 0 | 0 | 1 | | |

CONCOMITANT SURGERIES									
Please record all surgeries that are not related to breas that have occurred since the last visit.	t implant surgeries (e.g., liposuction, face lift, gall bl	adder	resecti	on, etc.)					
Do not record secondary procedures related to adverse e	events or breast implants here	0	None						
TYPE OF SURGERY	INDICATION	DATE OF SURGERY							
		month	day	year					
			Į.						
									
	, , , , , , , , , , , , , , , , , , ,								

A	Core G	el Breast			<u>.</u>							
₩ MENTOR	1	nical Trial			1 YEAR VISIT							
PATIENT STUDY ID:	TRIAL NO 10-009	COUNTRY NO	1	PATIENT NO	PA first	TIENT INIT middle	TIALS ast					
Visit Date month	₀											
BREAST MEASUREMENTS												
RIGHT 0 □ Not Im	LEF	LEFT										
Circumferential Breast Measurement cm				Circumferential Breast Measurement cm								
Bra Size (i.e 32A)		N/A (breast abse	nt) Bra	Size (ı.e 32A)		□ N/A	(breast a	absent)			
MAMMOGRAPHY RESULTS						-						
Date of Mammogram:	onth y	year	0	Not Don	e							
Birads 1 Negative Birads 2 Benign fir Birads 3 Probable follow-up	benign finding- is suggested	-short interval	LEFT 1 [2 [3 [4 [Birads 0 Birads 1 Birads 2 Birads 3 follow-up	Negative Benign fi Probable is suggest	inding e benign findi 'ed	ing—shor	t interva				
5 Bırads 4 Suspiciou considere	5 [

1. Has the patient become pregnant since the last visit? 1 No 2 Yes, without complications	Breast Cancer Reconstruction Patients only: N/A (augmentation patient)
3 Yes, with complications	Has the patient received any adjuvant therapies since the
Complete Adverse Events Report	last visit?
	1 No
2. Has the patient attempted to breastfeed since the last visit?	
,	Radiation Therapy
² Yes, was there adequate milk?	Chemotherapy
No (Enter code 30 on Adverse Events Report)	Other, specify
2 Yes	
	Treating Oncologist
3. Would the patient have this breast surgery again? 1 No, reason.	Name:
2 Yes	Phone ()
ITE ORIGINAL MENTOR AFFILIATE YELLOW MENTOR PINK INVESTIGATOR SITI 5''00	E PAGE 34

6 Bırads 5 Hıghly suggestive of malignancy—

List abnormality. ___

appropriate action should be taken

VISIT REPORT

⁵ Bırads 5 Hıghly suggestive of malignancy—

appropriate action should be taken

List abnormality:

♦ MENTOR		el Breast nical Trial	1 YEAR VISIT									
PATIENT STUDY ID:	TRIAL NO	COUNTRY NO			SITE NO	P	ATIENT N	0	PA1 first	TIENT INITI	IALS last	
PATIENT STODT ID.	10-009	0 0	1									
CAPSULAR CONTRACTURE	ASSESSMEN	T OF PROSTHES	SIS									
Right Prosthesis is: 0	Left Prosthesis is 0 Not Implanted with Study Device 1 Baker Class I (normally soft and natural appearance) 2 Baker Class II (a natural appearance despite palpable firmness) 3 Baker Class III (firm with visible distortion) 4 Baker Class IV (obvious spherical distortion)											
Complete Adverse Events Report for Baker III or IV.												
NIPPLE/BREAST SENSITIVITY												
How would patient describe the feeling in nipple(s) and/or breast(s) now?												
If unacceptably high or Report.	low at baseline	, and sensation re	main	s the sa	ame post-opera	atıvely, d	do not d	complete	e an Adı	verse Ev	vents/	
If acceptable at baselin	e and has beco	me unacceptable	post-	-operati	vely, complete	an Adve	erse Ev	ents Re	port			
RIGHT 0 Not Impl	anted with Stud	ly Device		LEFT	0	Not Im	planted	with St	udy Dev	/ice		
Nipple Sensation is:				Nipple	e Sensation is							
· Unacceptably low			1 Unacceptably low									
2 Acceptable				2 Acceptable								
			3 Unacceptably high									
4 N/A, nipple absent				4	N/A, nipple abs	ent						
Breast sensation is:				Breast	sensation is:							
1 Unacceptably low			1 Unacceptably low									
2 Acceptable			2 Acceptable									
3 Unacceptably high					3 Unacceptably high							
4 N/A. breast absent	4 N/A, breast absent											
Complete Adverse	Events Rep	oort for any co	mpi	licatio	ns or adver	se eve	ents n	oted a	t this	visit.		

◆ MENTOR		el Breast nical Trial				
PATIENT STUDY ID:	TRIAL NO	COUNTRY NO	4	SITE NO	PATIENT NO	PATIENT INITIALS first middle last
	10-009	0 0	'			

INVESTIGATOR-COMPLETED RHEUMATIC DISEASE DIAGNOSIS QUESTIONNAIRE No diagnosis made										
Has the patient been diagnosed by a RHEUMATOLOGIST for any of the following since the last visit? If YES, complete Adverse Event Report.										
RHEUMATIC DI				DATE (
KNEUWATIC DI	ISEASE	NO	YES	(if known) month year						
Connective Tissue Disorders:	SLE									
	Sjogren's Syndrome									
	Scleroderma									
	Polymyositis									
	Other Connective Tissue Disorders									
Inflammatory Arthritis:	Rheumatoid Arthritis									
	Crystalline Arthritis									
	Infectious Arthritis									
	Spondyarthropathies									
	Other Inflammatory Arthritis									
Non-Inflammatory Rheumatic Conditions	s: Osteoarthritis									
	Fibromyalgia									
	Chronic Fatigue									
	Other Mechanical or Degenerative									
Other, specify:										
Other, specify:										
Disease must be diagnosed by a rheumato	ologist.									
Rheumatologist who made diagnosis.										
Name										
Address:						MA, Co.				
Phone: ()										

◆ MENTOR		el Breast nical Trial		1 YEAR VISIT	
	TRIAL NO	COUNTRY NO	SITE NO	PATIENT NO	PATIENT INITIALS first middle last
PATIENT STUDY ID:	10-009	0 0 1	1		list made last

RHEUMATOLOGY SYMPTOMS (Page 1 of 2) 1 No symptoms; patient not referred to rheumatologist							
Please check any current symptoms which: 1 The patient experiences on a regular basis 2 The cause is unknown and cannot be attributed to	o any patient ac		· ·				
RHEUMATOLOGY SYMPTOM	STATUS SINC	E LAST VISIT	DATE OF ONSET (only if new since last visit)	STATUS AS (OF THIS VISIT		
	CONTINUING	NEW	month year	RESOLVED	CONTINUING		
Loss of weight without dieting							
Fatigue							
Insomnia							
Weakness							
Exhaustion							
Joint swelling							
Heel pain							
Frequent muscle cramps							
Numbness of feet							
Ringing in ears							
Pain/grittiness in eyes							
Dryness of eyes, nose							
Pain on swallowing or chewing							
Neck pain/stiffness							
Pain on breathing							
Heart murmurs							
Loss of appetite							
Persistent fever							
Night sweats							
Generalized aching							
Loss of height							
Joint pain							
Frequent muscle pain							

◆ MENTOR		el Breast nical Trial		1 YEAR VISIT	
	TRIAL NO	COUNTRY NO	SITE NO	PATIENT NO	PATIENT INITIALS first middle last
PATIENT STUDY ID:	10-009	0 0 1			mst mode tast

RHEUMATOLOGY SYMPTOMS (Page 2 of 2)					
Please check any current symptoms which					
1 The patient experiences on a regular basis2. The cause is unknown and cannot be attributed to	any patient ac	ctivity.			
RHEUMATOLOGY SYMPTOM	STATUS SINC	E LAST VISIT	DATE OF ONSET	STATUS AS	OF THIS VISIT
RHEUMATOLOGY SYMPTOM	STATUS SINCE LAST VISIT CONTINUING NEW Continuing New Continuing New Continuing New Continuing New Continuing New Continuing New Continuing New Continuing New Continuing New Continuing New Continuing New Continuing New Continuing New Continuing New Continuing New N	RESOLVED	CONTINUING		
Numbness of hands					
Jaw pain					
Open sores					
Redness of eyes					
Dryness of mouth					
Back pain/stiffness					
Severe chest pains					
Chronic cough					
Difficulty swallowing					
Frequent, severe or persistent diarrhea or constipation					
Severe rashes					
Frequent muscle cramps					
Severe dryness of skin					
Tender lumps/bumps					
Excessive sensitivity to sun					
Color changes on hands or feet with cold exposure					
Joint pain					
Frequent hives					
Numbness of hands					
Tightness of skin					
Unusual hair loss					
Tenderness of scalp					
Severe bruising with little or no injury					

◆ MENTOR		el Breast nical Trial		1 YEAR VISIT	
	TRIAL NO	COUNTRY NO	SITE NO	PATIENT NO	PATIENT INITIALS
PATIENT STUDY ID:	10-009	0 0 1			first middle last

						
RHEUMATOLOGICAL PHYSICAL EXAMINATION (Page 1 of 2)				No sympto	ms present
Check the box for each physical finding noted to be pr	esent and outs	side normal lim	nits			
DINALON ENDING	STATUS SINC	E LAST VISIT		OF ONSET	STATUS AS C	OF THIS VISIT
PHYSICAL FINDING	CONTINUING	NEW	month	since last visit) year	RESOLVED	CONTINUING
Muscle weakness:						П
Headlift from supine position against gravity						
Inability to raise arms						
Inability to get out of chair						
Joint swellings.						
Wrists						
Digits						
Elbows						
Knees						
Ankles						
Joint deformities and flexion contracture: Boutonniére¹						
Ulnar drift²						
Swan neck³						
Trigger fingers						
Joint tenderness						
Grip strength and motion-finger to palm crease (normal = 0 cm)						
Neck motion–chin to chest or sternum (normal = 0 cm)						
Chest expansion						П
(normal = at least 5 cm)						<u></u>
Occiput to wall (normal = 0 cm)						
Jaw motion						
(normally upper-lower incisors 3 cm) Back motion-measure 10 cm above posterior supine iliac spines-						
with forward bending motion at least 5 cm from first point (total distance at least 15 cm)						
¹ Boutonnière - Contractures of hand musculature characterized by n	rovimal	3Cwan Nook	Eurgor dofor	mity characterize	d by flavon of dia	tol intombo

¹Boutonniére - Contractures of hand musculature characterized by proxima interphalangeal joint flexion and distal interphalangeal joint hyperextension

Swan Neck - Finger deformity characterized by flexion of distal interphalangeal joints and hyperflexion of the proximal interphalangeal joints due to hypermobility

 $^2\emph{Ulnar Drift}$ - Joint change at the metacarpophalangeal joints. Long axis of fingers deviate in an ulnar direction

YELLOW MENTOR

PINK INVESTIGATOR SITE

♦ MENTOR		el Breast nical Trial		1 YEAR VISIT	
	TRIAL NO	COUNTRY NO	SITE NO	PATIENT NO	PATIENT INITIALS first middle last
PATIENT STUDY ID:	10-009	0 0 1			THSI PRIDGIE IASI

RHEUMATOLOGICAL PHYSICAL EXAMINATION (Page 2 of 2)									
Check the box for each physical finding noted to be present and outside normal limits.									
PHYSICAL FINDING	POSSIBLE INDICATION	STATUS SINC	E LAST VISIT	DATE OF ONSET (only if new since last visit)		STATUS AS (OF THIS VISIT		
THE TOTAL PROPERTY.	POSSIBLE INDICATION	CONTINUING	NEW	month	year year	RESOLVED	CONTINUING		
Hair loss	Lupus, Scleroderma								
Skin tightness, especially face and hands	Scleroderma								
Raynaud's phenomenon	Lupus, Scleroderma								
Calcinosis over tibia, ulna, elbows	Scleroderma, Dermatomyositis								
Swollen digits	Juvenile RA. Reiter's syndrome Psoriatic arthntis. Early scleroderma, Rellex sympathetic dystrophy								
Erythema over knuckles	Dermatomyositis								
Bluish hue color on eyelids	Dermatomyositis								
Non-tender lumps or nodules on elbows	Rheumatoid gout								
Tender lumps-tibia	Erythema nodosum								
Painless eye redness	Conjunctivitis								
Painful eye redness with decreased vision, small pupils	Uveitis								
Tenderness—insertion of deltoids	Polymyalgia rheumatica								
Muscle tenderness	Polymyositis								
Nail pittings	Psoriatic arthritis. Reiter's syndrome								
Tinels or Phalen's signs	Carpal tunnel syndrome								
Skin rashes	Discoid lupus								
Does the patient require an ex No Yes, record rheumatole		ologist?					_		
						·	_		

◆ MENTOR		el Breast nical Trial	1 YEAR VISIT			
PATIENT STUDY ID:	TRIAL NO 10-009	COUNTRY NO	SITE NO	PATIENT NO	PATIENT INITIALS first middle last	

	ROSENBERG SELF-ESTEEM SCALE (Page 1 of 1)									
	TO THE PATIENT: Below are some statements with which some people agree and disagree. Please read each statement and check one response to each statement.									
	:	Strongly Agree Disagree Disagree Disagree								
1.	I feel that I am a person of worth, at least on an equal basis with others.									
2.	I feel that I have a number of good qualities.									
3.	All in all, I am inclined to feel that I am a failure.									
4.	I am able to do things as well as most people.									
5	I feel I do not have much of which to be proud.									
6	I take a positive attitude towards myself.									
7.	On the whole, I am satisfied with myself.									
8.	I wish I could have more respect for myself.									
9.	I certainly feel useless at times.									
10.	At times I think I am no good at all.									



1 YEAR VISIT

PATIENT STUDY ID:

TRIAL NO COUNTRY NO 10-009 0 0 1 1

PATIENT NO

PATIENT INITIALS first middle last

TENNESSEE SELF-CONCEPT SCALE (Page 1 of 4)

SITE NO

TO THE PATIENT: The statements below are to help you describe yourself as you see yourself. Please respond to them as if you were describing yourself **to yourself**. Do not omit any item. Read each statement carefully, then select one of the five responses listed below. On the test, put a **circle** around the response you chose. If you want to change an answer after you have circled it, do not erase it but put an X mark through the response and then circle the response you want.

Remember, put a circle around the response number you have chosen for each statement.	Completely False	Mostly False	Partly True and Partly False	Mostly True	Completely True
1 I have a healthy body.	1	2	.3	4	5
2 I am an attractive person.	1	2	3	4	5
3. I consider myself a sloppy person.	1	2	3	4	5
4 I am a decent sort of person.	1	2	3	4	5
5 I am an honest person.	1	2	3	4	5
6 I am a bad person.	1	2	3	4	5
7 I am a cheerful person.	1	2	3	4	5
8 I am a calm and easygoing person.	1	2	3	4	5
9. I am a nobody.	1	2	3	4	5
10 I have a family that would always help me in any kind of trouble.	1	2	3	4	5
11. I am a member of a happy family.	1	2	3	4	5
12. My friends have no confidence in me.	1	2	3	4	5
13. I am a friendly person.	1	2	3	4	5
14. I am popular with men.	1	2	3	4	5
15. I am not interested in what other people do.	1	2	3	4	5
16. I do not always tell the truth.	1	2	3	4	5
17. I get angry sometimes.	1	2	3	4	5
18. I like to look nice and neat all the time.	1	2	3	4	5
19. I am full of aches and pains.	1	2	3	4	5
20. I am a sick person.	1	2	3	4	5
21. I am a religious person.	1	2	3	4	5
22. I am a moral failure.	1	2	3	4	5
23. I am a morally weak person.	1	2	3	4	5



1 YEAR VISIT

PATIENT STUDY ID:

TRIAL NO COUNTRY NO 10-009 0 | 0 |

1

SITE NO PATIENT NO

PATIENT INITIALS first middle last

TENNESSEE SELF-CONCEPT SCALE (Page 2 of 4)								
Remember, put a circle around the response number you have chosen for each statement.	Completely False	Mostly False	Partly True and Partly False	Mostly True	Completely True			
24. I have a lot of self-control.	1	2	3	4	5			
25. I am a hateful person.	1	2	3	4	5			
26. I am losing my mind.	1	2	3	4	5			
27. I am an important person to my friends and family.	1	2	3	4	5			
28. I am not loved by my family.	1	2	3	4	5			
29. I feel that my family doesn't trust me.	1	2	3	4	5			
30. I am popular with women.	1	2	3	4	5			
31. I am mad at the whole world.	1	2	3	4	5			
32. I am hard to be friendly with.	1	2	3	4	5			
33. Once in a while I think of things too bad to talk about.	1	2	3	4	5			
34. Sometimes when I am not feeling well, I am cross.	1	2	3	4	5			
35. I am neither too fat nor too thin.	1	2	3	4	5			
36. I like my looks just the way they are.	1	2	3	4	5			
37. I would like to change some parts of my body.	1	2	3	4	5			
38. I am satisfied with my moral behavior.	1	2	3	4	5			
39 I am satisfied with my relationship to God.	1	2	3	4	5			
40. I ought to go to church more.	1	2	3	4	5			
41 I am satisfied to be just what I am.	1	2	3	4	5			
42. I am just as nice as I should be.	1	2	3	4	5			
43. I despise myself.	1	2	3	4	5			
44 I am satisfied with my family relationships.	1	2	3	4	5			
45 I understand my family as well as I should.	1	2	3	4	5			
46. I should trust my family more.	1	2	3	4	5			
47 I am as sociable as I want to be.	1	2	3	4	5			
48 I try to please others, but I don't overdo it.	1	2	3	4	5			
49 I am no good at all from a social standpoint.	1	2	3	4	5			



1 YEAR VISIT

PATIENT INITIALS

PATIENT STUDY ID:

TRIAL NO COUNTRY NO SITE NO PATIENT NO 10-009 0 0 1 1

TENNESSEE SELF-CONCEPT S	CALE (Pag	ge 3 of 4)			···
Remember, put a circle around the response number you have chosen for each statement.	Completely False	Mostly False	Partly True and Partly False	Mostly True	Completely True
50. I do not like everyone I know.	1	2	3	4	5
51. Once in a while, I laugh at a dirty joke.	1	2	3	4	5
52. I am neither too tall nor too short.	1	2	3	4	5
53. I don't feel as well as I should.	1	2	3	4	5
54. I should have more sex appeal.	1	2	3	4	5
55. I am as religious as I want to be.	1	2	3	4	5
56. I wish I could be more trustworthy.	1	2	3	4	5
57. I shouldn't tell so many lies.	1	2	3	4	5
58. I am as smart as I want to be.	1	2	3	4	5
59. I am not the person I would like to be.	1	2	3	4	5
60 I wish I didn't give up as easily as I do.	1	2	3	4	5
61 I treat my parents as well as I should. (Use past tense if parents are not living)	1	2	3	4	5
62. I am too sensitive to things my family says.	1	2	3	4	5
63. I should love my family more.	1	2	3	4	5
64 I am satisfied with the way I treat other people.	1	2	3	4	5
65 I should be more polite to others.	1	2	3	4	5
66 I ought to get along better with other people.	1	2	3	4	5
67 I gossip a little at times.	1	2	3	4	5
68 At times I feel like swearing.	1	2	3	4	5
69. I take good care of myself physically.	1	2	3	4	5
70. I try to be careful about my appearance.	1	2	3	4	5
71. I often act like I am "all thumbs".	1	2	3	4	5
72 I am true to my religion in my everyday life.	1	2	3	4	5
73. I try to change when I know I'm doing things that are wrong.	1	2	3	4	5
74. I sometimes do very bad things.	1	2	3	4	5
75. I can always take care of myself in any situation.	1	2	3	4	5



1 YEAR VISIT

PATIENT STUDY ID:

TRIAL NO COUNTRY NO 10-009 0 | 0 |

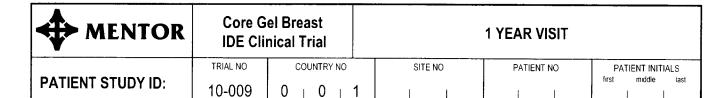
SITE NO

1

PATIENT NO

PATIENT INITIALS first middle las

TENNESSEE SELF-CONCEPT SCALE (Page 4 of 4)										
Remember, put a circle around the response number you have chosen for each statement.	Completely False	Mostly False	Partly True and Partly False	Mostly True	Completely True					
76. I take the blame for things without getting mad.	1	2	3	4	5					
77 I do things without thinking about them first.	1	2	3	4	5					
78. I try to play fair with my friends and family.	1	2	3	4	5					
79 I take a real interest in my family.	1	2	3	4	5					
80. I give in to my parents. (Use past tense if parents are not living.)	1	2	3	4	5					
81. I try to understand the other fellow's point of view.	1	2	3	4	5					
82. I get along well with other people.	1	2	3	4	5					
83 I do not forgive others easily.	1	2	3	4	5					
84 I would rather win than lose in a game.	1	2	3	4	5					
85 I feel good most of the time.	1	2	3	4	5					
86 I do poorly in sports and games.	1	2	3	4	5					
87 I am a poor sleeper.	1	2	3	4	5					
88 I do what is right most of the time.	1	2	3	4	5					
89 I sometimes use unfair means to get ahead.	1	2	3	4	5					
90 I have trouble doing the things that are right.	. 1	2	3	4	5					
91. I solve my problems quite easily.	1	2	3	4	5					
92. I change my mind a lot.	1	2	3	4	5					
93. I try to run away from my problems.	1	2	3	4	5					
94. I do my share of work at home.	1	2	3	4	5					
95. I quarrel with my family.	1	2	3	4	5					
96. I do not act like my family thinks I should.	1	2	3	4	5					
97. I see good points in all the people I meet.	1	2	3	4	5					
98 I do not feel at ease with other people.	1	2	3	4	5					
99. I find it hard to talk with strangers.	1	2	3	4	5					
100. Once in a while I put off until tomorrow what I ought to do today.	1	2	3	4	5					



SF-36 (Page 1 of 3)

TO THE PATIENT: This survey asks for your views about your health. This information will help keep track of how you feel and how well you are able to do your usual activities.

Answer each question by circling **one** number. If you are unsure about how to answer a question, please give the best answer you can.

1.	In general, would you say your health is: (Circle one number)	Excellent	Very Good	Good	Fair	Poor
		1	2	3	4	5
2	Compared to one year ago, how would you rate your health in	Much Better Now	Somewhat Better Now	About the Same	Somewhat Worse Now	Much Worse Now
_	general now? (Circle one number)	1	2	3	4	5
3.	The following questions are about activities you might do during a ty Does your health now limit you in these activities? If so, how muc (Circle one number for each question.)	<i>1</i> .	Yes. Limited a Lot	Yes, Limited a Little	No, Not Limited at All	
	Vigorous activities, such as running, lifting heavy objects, participa strenuous sports.	1	2	3		
	Moderate activities, such as moving a table, pushing a vacuum clear or playing golf	1	2	3		
	Lifting or carrying groceries	1	2	3		
	Climbing several flights of stairs			1	2	3
	Climbing one flight of stairs			1	2	3
	Bending, kneeling, or stooping			1	2	3
	Walking more than a mile			1	2	3
	Walking several blocks	1	2	3		
	Walking one block		1	2	3	
	Bathing or dressing yourself			1	2	3



1 YEAR VISIT

PATIENT STUDY ID:

TRIAL NO COUNTRY NO 10-009 0 | 0 | 1

SITE NO

PATIENT NO

PATIENT INITIALS first middle last

PATIENT STUDY ID:	10-009	0	0	1							11150	middle	lasi
			SF-3	36 (Pa	ige 2 o	of 3)							
4. During the past 4 week regular daily activities a (Circle one number for	s a result of	your					s with	your	work (or other	YE	S	NO
Cut down on the am	ount of time	you sp	pent o	n worl	k or ot	her activ	rities				1		2
Accomplished less	than you wo	uld like)				•				1		2
Were limited in the k	ind of work o	r othe	r activ	ities							1		2
Had difficulty perfor	ming the wor	k or ot	her ac	ctivitie	s (for e	example	, it too	k extr	a effo	rt)	1		2
5. During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of any emotional problems (such as feeling depressed or anxious)? (Circle one number for each question.) Cut down on the amount of time you spent on work or other activities									YE		NO 2		
Accomplished less	than you wou	uld like)								1		2
Didn't do work or oth	er activities a	s care	fully	as usı	ıal						1		2
6. During the past 4 we d							Not A	1	Slightly	Moderatel	Quit a B	- 1 -	xtremely
with family, friends, ne							1		2	3	4		5
7. How much bodily pai past 4 weeks? (Circl	•		ing th	e		None	Ve Mi		Mild	Moderate	Seve	ere	Very Severe
pact 4 mooks. (Choic one number)					1	2		3	4	5		6	
During the past 4 wee normal work (including	g both work o		•			your	Not A		A Little Bit	Moderately	Quit a Bi	10	xtremely
housework)? (Circle one number)					1		2	3	4		5		



1 YEAR VISIT

PATIENT STUDY ID:

TRIAL NO COUNTRY NO 10-009 0 | 0 | 1

SITE NO PATIENT NO

PATIENT INITIALS first middle las

SF-36 (Page 3 of 3)

9. These questions are about how you feel and how things have been with you **during the past 4 weeks**. For each question, please indicate the one answer that comes closest to the way you have been feeling. (Circle **one** number for each question.)

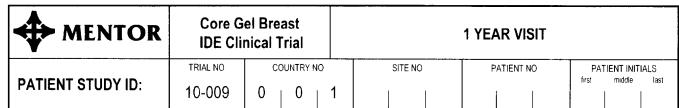
How much of the time during the past 4 weeks	All of the Time	Most of the Time	A Good Bit of the Time	Some of the Time	A Little of the Time	None of the Time
Did you feel full of pep?	1	2	3	4	5	6
Have you been a very nervous person?	1	2	3	4	5	6
Have you felt so down in the dumps that nothing could cheer you up?	1	. 2	3	4	5	6
Have you felt calm and peaceful?	1	2	3	4	5	6
Did you have a lot of energy?	1	2	3	4	5	6
Have you felt downhearted and blue?	1	2	3	4	5	6
Did you feel worn out?	1	2	3	4	5	6
Have you been a happy person?	1	2	3	4	5	6
Did you feel tired?	1	2	3	4	5	6

10. During the past 4 weeks , how much of the time has your	All	Most	Some	A Little	None
physical health or emotional problems interfered with your	of the Time	of the Time	of the Time	of the Time	of the Time
social activities (like visiting with friends, relatives, etc.)? (Circle one number.)	1		2	4	Г
(Officie one flumber.)	i	2	3	4	5

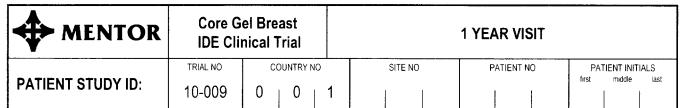
11. How TRUE or FALSE is each of the following statements for you? (Circle one number for each question.)	Definitely True	Mostly True	Don't Know	Mostly False	Definitely False
I seem to get sick a little easier than other people	1	2	3	4	5
I am as healthy as anybody I know	1	2	3	4	5
I expect my health to get worse	1	2	3	4	5
My health is excellent	1	2	3	4	5

♦ MENTOR	Core Gel Breast IDE Clinical Trial			1 YEAR VISIT						
	TRIAL NO	COUNTRY NO		SITE NO	PATIENT NO	PATIENT INITIALS first middle last				
PATIENT STUDY ID:	10-009	0 1 0 1	1	1 1						

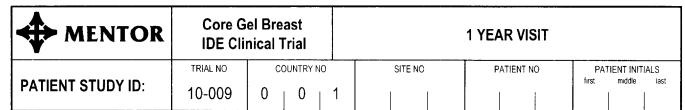
BODY ESTEEM SCALE (Page 1 of 1)										
TO THE PATIENT: On this page are listed a number of body parts and functions. Please read each item and indicate how you feel about this part or function of your own body , using the following scale:										
 1 = Have strong negative feelings 2 = Have moderate negative feelings 3 = Have no feeling one way or the other 4 = Have moderate positive feelings 5 = Have strong positive feelings 										
1. Body Scent	19. Arms									
2. Appetite	20. Chest									
3. Nose	21. Appearance of Eyes									
4. Physical Stamina	22. Cheeks/Cheekbones									
5. Reflexes	23. Hips									
6. Lips	24. Legs									
7. Muscular Strength	25. Physique									
8. Waist	26. Sex Drive									
9. Energy Level	27. Feet									
10. Thighs	28. Sex Organs									
11. Ears	29. Appearance of Stomach									
12. Biceps	30. Health									
13. Chin	31. Sex Activities									
14. Body Build	32. Body Hair									
15. Physical Coordination	33. Physical Condition									
16. Buttocks	34. Face									
17. Agility	35. Weight									
18. Width of Shoulders										



MA	ANITOBA CAN	CER TREAT	MENT & RESEAR	CH FOUNDATION Page 1 of 3	N FUNCTIONAL I		ANCER (FLIC) a cancer patient)
TO T	THE PATIENT:	Please indi	cate your rating t		tical line ().		,
1.	Most people	experience	some feelings of	depression at ti	mes. Rate how c	often you feel the	se feelings.
	1 Never	2	3	4	5	6 C	7 ontinually
2.	How well are	e you coping	with your everyo	day stress?	***		1
	1 Not Well	2	3	4	5	6	7 Very Well
3.	How much ti	ime do you s	spend thinking ab	out your illness?	?	I	I
	1 Constantly	2	3	4	<u> </u>	6	7 Never
4.	Rate your at		tain your usual re	ecreation or leisu		l	
	1 Able	2	3	4	5	6	7 Unable
5.	Has nausea	affected you	ır daily functionin	g? 	l		_1
	1 Not At All	2	3	4	5	6 A G	7 reat Deal
6.	How well do	you feel toda	ау? 	I	ŀ	I	I
	1 Extremely Po	2 oor	3	4	5	6 Extren	7 mely Well
7.	Do you feel v	well enough t	to make a meal c	or do minor hous	ehold repairs toc	day?	ı
	1 Very Able	2	3	4	5	6	Not Able



MA	NITOBA CANCER TREATI	WENT & RESEAR	CH FOUNDATION Page 2 of 3	N FUNCTIONAL L		ANCER (FLIC) a cancer patient)
8.	Pate the degree to which	vour cancor has it	mocod a bardebi	in on those class.		
0.	Rate the degree to which	your cancernas ii	nposeu a narusni 	ip on those closes	st to you in the par	st 2 months.
	1 2	3	4	5		<u> </u>
	No Hardship	Ü	T	3	Tremen	dous Hardship
	7 to 1 tal dollip				TOTAL	adda Hardamp
9.	Rate how often you feel	discouraged abo	ut your life.			
	1 2	3	4	5	6	7
	Always					Never
10	Data varia satisfaction wi	4h		al 415 a 15 a		
10.	Rate your satisfaction wi	th your work and	your jobs around	a the nouse in th	e past montn.	1
	1 2	3	4		<u> </u>	<u> </u>
	Very Dissatisfied	S	4	5	6 \/on/	, Satisfied
	very Dissatisfied				very	Salisileu
11.	How uncomfortable do y	ou feel today?				
			1	1		1
	1 2	3	4	5	6	 7
	Not at All				Very Un	comfortable
					,	
12.	Rate in your opinion, how	v disruptive your	cancer has been	to those closes	t to you in the pa	st 2 weeks.
	1 2	3	4	5	6	7
	Totally Disruptive				No D	isruption
40	11					
13.	How much is pain or disc	omfort interferinç	y with your daily a	activities?	1	ŧ
	1	<u> </u>				
	1 2	3	4	5	6	7
	Not at All				A Gr	eat Deal
14.	Rate the degree to which	ı vour cancer has	imnosed a hard	shin on you (ner	reanally) in the no	et 2 wooke
		your carloor has			Sorially IT the pa	151 2 WEEKS.
	1 2	3	4	5	6	<u>_</u> <u>_</u> 7
	Tremendous Hardship	v		J	· · · · · · · · · · · · · · · · · · ·	- Hardship



MA	NITOBA CANCER	TREATMENT &	RESEARCH FOUN Page			DEX: CANCER (FLIC)
				3		in the deliver patients
15.	How much of you	ur usual househo I	old tasks are you I	able to complete	e? 	I
	1	2	3 4		5 6	7
	All	_		·	Š	None
16.	Rate how willing	you were to see	and spend time	with those closes	st to you, in the pa	ast 2 weeks.
		ĺ	'			
	1	2	3 4	, E	5 6	7
	Unwilling					Very Willing
						, 0
17.	How much naus	ea have you had	in the past 2 wee	eks?		
		2 ;	3 4		5 6	· ·
	None					A Great Deal
18.	Rate the degree	to which you are	frightened of the	future.		
	•		3 4	5	5 6	•
	Constantly Terrif	ied				Not Afraid
40	D 1 1 200		1 1 1			
19.	Rate how willing	you were to see	and spend time	with friends, in th	e past 2 weeks.	
		2	3 4	5	6	7
	Unwilling			•		Very Willing
20	Hannan et al.		<i>.</i>			_
20.	How much of yo	ur pain or discom	fort over the past	i 2 weeks was re	elated to your can	cer?
	-	2	3 4	5	6	7
	None					All
21.	Data vous confid					
۷١.	Rate your confid	ence in your pres	scribed course of	treatment.		,
	1					
	No Confidence	2 3	3 4	5	6	7
	INO COMINGENCE					Very Confident
22.	How wall do you	annoar today?				
44.	How well do you	appear (Oday?	1	1	ı	, ,
	1 1	1				
	Extremely Poor	? 3	4	5	6	7
	Evirence A LOOP					Extremely Well

													_							
	ME	NTOR	7 1	Core Gel Breast DE Clinical Trial ADVERSE EVENTS						1 YEAR VISIT										
TRIAL NO CO			OUNTRY	INTRY NO SITE NO PATIENT NO PATIENT INITIALS																
PATI	ENT STU	UDY ID : 10-009 0 0 1 □ No			Adverse Events															
Ente	Enter one adverse event per line. If the event was experienced at more than one body site, enter each body site on a separate line.																			
(See	CAUS 1 = Proced 2 = Device 3 = Unknow 4 = Other	related wn	EVENT SIDE 0 = N/A 1 = Right 2 = Left	BREA 0 = N 1 = M	ild oderate	month	ONSE DATE day		SEVERITY 1 = Mild 2 = Moderate 3 = Severe	2 = M 3 = S S 4 = H	o Treatment ledication (specify) econdary Procedure <i>(e</i> .	nter Proc Report fo	r all procedu	Code a ires pe	that apply) and date below, and compl rformed for this date)**	ete	OUTCOME 1 = Resolved 2 = Ongoing 3 = Death 4 = Unknown	RE month	SOLUT DATE day	
	□ 1	□ 3	□0	□0	□3				□ 1	□ 1			□ 4	(days, Date//_		□1 □4			
	□ 2	□ 4	□1						□ 2	□ 2			_ 🗆 5				□2			
			□2	□2			İ		□ 3	□ 3	Procedure Type Cod	de†	Proce	dure D	oate://	_	□3			
	□ 1	□ 3	□0	□0	□3				□ 1	□ 1					days, Date//		□1 □4			
	□ 2	□ 4	□1	□1					□ 2	□ 2			<u> </u>			_	□2			
			□2	□2					□ 3	□ 3	Procedure Type Cod	de†	_ Proce	dure D	oate/	_	□3	:		
Invest	igator's Signa	ature							month		day year		1 .		econdary Procedures complete Re-Implanta	•		tudy de	evice	is
				-			*	ADVERS	SE EVENT COD	ES						†SE	CONDARY PRO	CEDURE	TYPE	CODES
2 = Ba 3 = Ba 4 = Ba 5 = Bre 6 = Bre 7 = Bre 8 = Ca 9 = De 10 = Ex 11 = Gre 12 = He	ker III Capsul ker IV Capsul east pain not a east—Unacce east—Unacce loification layed Wound trusion anuloma matoma pertrophic Sc	ŭ	n any other com			10 11 18 20 22 22 24 24 26	6 = Nec 7 = Nev 8 = Nev spe 9 = Nipp 0 = Nipp 1 = Pos 2 = Ptos 3 = Rup 4 = Ser 5 = Size	v Diagnos v Diagnos cify ple—Una ple—Una cition Cha sis soture oma e Change e Change	sis of Breast Car sis of Rheumatic cceptably Low S cceptably High S	Diseas Sensitivi Sensitivi	y ty	29 =	Cother, specify Other, specify	/		82 83 84 85 86 87 88 89 90	= Biopsy = Capsulectomy = Explantation wil = Explantation wil = Incision and Dri = Mastopexy = Open Capsulote = Position Chang = Scar Revision = Skin Adjustmen = Other, specify	hout Re ainage omy e	olaceme	ent



1 YEAR VISIT

PATIENT STUDY ID:

TRIAL NO COUNTRY NO 10-009 0 | 0 |

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SITE NO PATIENT NO

PATIENT INITIALS first middle las

Please record all medications (include	non-prescription drugs such as he	rbs. vitamır	is, etc.)	taken sını	e the las	t visit			
DRUG NAME	INDICATION	TDO, VICITIA	START DATE		STOP DATE (if continuing, circle "C				
		month day year			month day year				
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1 YEAR VISIT

PATIENT STUDY ID:

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CONCOMITANT SURGERIES						
Please record all surgeries that are not related to breast implant surgeries (e.g., liposuction, face lift, gall bladder resection, etc.) that have occurred since the last visit.						
Do not record secondary procedures related to adverse	0	None				
TYPE OF SURGERY	DATE OF SURGERY					
<u>-</u> 5. 55.15 <u>-</u>	INDICATION	month	day	year		
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SECONDARY PROCEDURES REPORT

PATIENT STUDY ID:

TRIAL NO COUNTRY NO			SITE NO			PATIENT NO			PATIENT INITIALS			
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SECONDARY PROCEDURES INFORMATION		
Surgery Date		
Institution at which surgery took place:		
Anesthesia Type (check all that apply) General Local Local with Sedation Other, specify:	·	
Indication for Procedure (check one Primary Reason for each breast RIGHT LEFT 1 Asymmetry		RIGHT LEFT
Type of Secondary Procedure (check all that apply): 1 Biopsy 2 Capsulectomy 3 Implant Size Change (complete Re-Implantation Report) 4 Implant Removal (without replacement) 5 Implant Removal (with replacement other than size change) (complete Re-Implantation Report) 6. Incision and Drainage 7 Mastopexy 8 Nipple Reconstruction	RIGHT LEFT 9. Nipple Tattoo 10. Open Capsulotomy 11 Position Change 12 Scar Revision 13. Skın Adjustment 14 Other, specify:	RIGHT LEFT
EXPLANTATION REPORT 1 Explantation Site(s) 1 Right 2 Will prosthesis(es) be return 1 No, reason: 2 Left 3 Both		
Surgeon's Signature	month day y	year



RE-IMPLANTATION REPORT (Page 1 of 2)

PATIENT	
STUDY ID:	

TRIAL NO 10-009 COUNTRY NO 0

0

SITE NO

PATIENT NO

PATIENT INITIALS first middle last

PROCEDURE DATE month

RE-IMPLANTATION INFORMATION (for patients reco	eiving a new study implant only)						
Left Explant Date.	Reason for Explant/Reimpla 1. Same as Primary Reasor 2. latrogenic Damage 3. Rupture Discovered 4 Pocket Size Changed						
N/A (Not explanted) month day year 5. Other, specify:							
Anesthesia Type (check all that apply): 1 General 3 Local with Sedation	2 Local 4 Other, s	specify					
Institution at which surgery took place:							
Intraoperative Parental Medications: 1.	None Given 3						
2	4.						
	RIGHT Not Re-implanted with Study Device	LEFT Not Re-implanted with Study Device					
Device Placement:	1 Submuscular 2 Subglandular 3 Subpectoral 4 Other:	Submuscular Subglandular Subpectoral Other					
Incision Size:	cm	cm					
Implant Information:	1 Smooth Surface 2 Textured Surface	1 Smooth Surface 2 Textured Surface					
Catalog Number.							
Lot Number							
Surgical Approach:	Periareolar Inframammary Transaxillary Mastectomy Scar Other	Periareolar Inframammary Transaxillary Mastectomy Scar Other.					
Pocket Irrigation (check all that apply):	Saline Only Steroid: Dose: Antibiotic: Drug: Other:	Saline Only Steroid: Dose: Antibiotic: Drug: Other:					
Post-Operative Recommendations (check all that apply):	Antibiotic: Restricted Activities	Antibiotic:					

Other:

Other

◆ MENTOR		el Breast nical Trial		RE-IMPLANTATION REPORT (Page 2 of 2)							
PATIENT STUDY ID:	TRIAL NO 10-009	COUNTRY NO	1	SITE NO	PATIENT NO	PATIENT INITIALS first middle last					
			.								

SURGEON'S	ASSESSMENT	
Check on RIGHT	N/A (not re-implanted with study device) Satisfied Dissatisfied, specify.	
LEFT	N/A (not re-implanted with study device) Satisfied Dissatisfied, specify:	
	technical problems relating to the prosthesis noted during the re-implantation (xperiences)? 1 No 2 Yes, specify	
Surgeon's Si	nature	month day year

Complete Adverse Events Report if the patient experienced any operative complications or adverse events.



Core Gel Breast IDE Clinical Trial

END OF STUDY

PATIENT STUDY ID:

 TRIAL NO
 COUNTRY NO
 SITE NO
 PATIENT NO
 PATIENT INITIALS first

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Patient completed the 10 year study OR
Patient did not complete study, complete below:
Date of Discontinuation:
Reason for Discontinuation (check one box only): 2 Protocol Violation, specify.
Prosthesis Explanted, complete Adverse Events Report and Secondary Procedures Report
Consent Withdrawn by Patient, reason:
5 Lost to Follow-up, date of last documented contact.
(If patient is continuing in study at another site, this form should not be completed.)
List Contacts: 1
6 Death (Complete Adverse Events Report)
Date of Death day year
Cause:
7 Other, specify:
INVESTIGATOR SIGNATURE
I have reviewed all Case Report Forms for this patient and have verified that all data are accurate.
Investigator's Signature month day year

	ME	NTOR	Core (1						ADV	ERSE EV	'ENTS				YI	EAR V	ISIT	
PATI	ENT STU	JDY ID:	TRIAL 10-0		0	OUNTRY	NO 1		SITE N	0		PAT	TENT NO	first	PATIENT IN middle						
Ente	r one adve	rse event pe	r line. If the	event	was ex	perien	ced at	more th	nan one	body	site, e	enter ea	ich body si	te on a	separate	line.					
AE CODE* (See	1	JSALITY ure related related	IMPLANT SIDE 0 = N/A 1 = Right 2 = Left	ASSC BREA 0 = N 1 = M	OCIATED ST PAIN lone fild foderate		ONSE DATE	Т	SEVE 1 = Mild 2 = Mod 3 = Sev	RITY I derate ere	1 = N 2 = M 3 = S S 4 = H	o Treatm edication econdary	TREA ent (specify) y Procedure y Procedure tion (specify	TMENT F	REQUIRED ocedure 7 for all pro-	(check all	I that apply) and date below, and complete erformed for this date)**	OUTCOME 1 = Resolved 2 = Ongoing 3 = Death 4 = Unknown	R	ESOLU [*] DATE	
	☐ 1 ☐ 2	□ 3 □ 4	□0 □1 □2	□0 □1 □2	□3					2			dura Tuna C		_ 🗆	5	days, Date//	□1 □4 □2 □3			
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Investi	gator's Signa	ture								month		day	ye	ar			Secondary Procedures R complete Re-Implantatio	•	tudy d	evice i	is
2 = Bal 3 = Bal 4 = Bal 5 = Bre 6 = Bre 7 = Bre 8 = Cal 9 = Del 10 = Ext 11 = Gra 12 = Hel	ker III Capsula ker IV Capsula east pain not a east—Unacce last—Unacce lastication layed Wound rusion anuloma matoma pertrophic Sca	· ·	rith Surgical Ir any other com sitivity	nterventi		15 16 17 18 19 20 21 22 23 24 25 26 27	5 = Lym 6 = Nec 7 = New 8 = New 8 = Nipp 9 = Nipp 1 = Posi 2 = Ptos 8 = Rup 1 = Ser 6 = Size 6 = Size 7 = Wrir	v Diagnos v Diag	sis of Breadis of Rhe coceptably	Low Se High Se Reques	Disease ensitivit ensitivit est essmen	y y t only		30 = 31 = 32 =	Other, sp	ecify	88 88 88 88 88 88 89 99	SECONDARY PRO 1 = Biopsy 2 = Capsulectomy 3 = Explantation wider 4 = Explantation wider 5 = Incision and Dr 6 = Mastopexy 7 = Open Capsulot 8 = Position Chang 9 = Scar Revision 0 = Skin Adjustmen 1 = Other, specify 2 = Other, specify 3 = Other, specify	th Replat thout Re- ainage omy e	cement*	rint

WHITE ORIGINAL MENTOR AFFILIATE 8/15/00

YELLOW MENTOR

PINK INVESTIGATOR SITE

PAGE ____-

◆ MENTOR		el Breast nical Trial	YEAR VISIT					
	TRIAL NO	COUNTRY NO	SITE NO	PATIENT NO	PATIENT INITIALS			
PATIENT STUDY ID:	10-009	0 1 0 1	1		first middle last			

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on-prescription drugs such as ne	erbs, vitamin		·	e the las			
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₩ м	ENTOR		MRI Silicor	ne Breast Ir	nplant Evalu	ation Data Sheet
PATIENT	TRIAL NO	COUNTRY NO	SITE NO	PATIENT NO	PATIENT INITIALS first middle last	PATIENT SOCIAL SECURITY NO
STUDY ID:	10-009	0 0 1				

MRI EVALUATION		
Patient's Date of Birth:	month day year	
MRI Reviewer: Date of MRI Evaluation.	month day year	Scan Quality (check one): Good Adequate Inadequate
	RIGHT Not Implanted with Study Device	LEFT Not Implanted with Study Device
Device Placement:	Submuscular Subglandular	Submuscular Subglandular
Implant Type.	1 Smooth 2 Siltex	1 Smooth 2 Siltex
Implant Evaluation [.]	No Evidence of Rupture Indeterminate Evidence of Rupture Rupture. Check one Type: Intracapsular Extracapsular Check one Condition. Uncollapsed Partially Collapsed Fully Collapsed (Inguini sign)	No Evidence of Rupture Indeterminate Evidence of Rupture Rupture: Check one Type: Intracapsular Extracapsular Check one Condition. Uncollapsed Partially Collapsed Fully Collapsed (linguini sign)
Soft Tissue Evaluation:	No Evidence of Extracapsular Silicone Indeterminate for Extracapsular Silicone Definite Extracapsular Silicone	No Evidence of Extracapsular Silicone Indeterminate for Extracapsular Silicone Definite Extracapsular Silicone
Notes		
Reviewer's Signature		month day year

This study was not designed to detect breast cancer, and so the findings and impressions here should not replace routine screening mammography and clinical examination. Some implant ruptures and small amounts of soft tissue silicone below our thresholds for detection may not be seen by this method.

ATTACHMENT 11 CORE GEL RETRIEVAL PLAN

Revised: 14 August, 2000

Mentor Silicone Gel-Filled Mammary Prosthesis Explanted Device Retrieval Protocol

Objective:

To evaluate explanted silicone gel-filled mammary prostheses from patients enrolled in the Core Gel clinical study and document and catalogue various failure modes. The data will be reviewed to develop test methodology to predict the clinical failure modes.

Background:

As part of the Core Gel study, any patient who reports a rupture requiring their device to be explanted and replaced will be asked permission to evaluate the explanted device. Mentor will initially evaluate the explanted devices in accordance with Complaint and MDR procedures, reference SOP-HS-112 and SOP-HS-113.

The retrieved devices will undergo a visual or "gross" evaluation as well as a microscopic visual evaluation. Photographs are taken of all returned devices. The details of the complaint handling process are specified in the procedures listed in the reference section.

The retrieval protocol outlined is an extension of the existing complaint handling process. However, this retrieval program is designed to be used for explanted devices from patients enrolled in the Core Gel clinical study. When a complaint is reported relative to the Core Gel study, the PE staff will obtain pertinent questions pertaining to the patient history, device, complaint, implant and explant procedures. The FER will be used for all reported complaints (See Attachment 1). The explanted devices will be returned to Mentor utilizing Mentor's existing return kit and decontamination process. The explanted devices will be evaluated visually, and a description of the device failure will be documented in writing, on a diagram as well as photographed according to procedure, DOP-QA-4015.

Sample Size:

Explanted Core Gel clinical study devices that failed primarily due to rupture, and for which Mentor has received patient authorization to perform destructive testing, will be evaluated as part of the retrieval program. A maximum of 1000 patients (2000 devices) will be enrolled in the Core Gel clinical study.

Revised: 11 July, 2000

Device retrieval and shipping procedure

The explanted devices will be returned to Mentor using the standard return kit and decontamination process. The PE staff will confirm the address of the complainant and send the Product Return Kit. The materials included within the Product Return Kit are as follows:

- Mailing envelope, 15" x 12" Airborne bubble pack
- Cardboard box, 8 x 8 x 4 corrugated, complies with Federal spec. PPP-B636J stamped "PE Returns"
- Packing Material, 20" x 16 ½" PIG material pad
- Three 9" x 12" self-sealing bags, Part Number 211032-3
- Airborne Express airbill, preprinted
- Envelope that includes all patient medical information and explanted device paperwork is enclosed in the envelope
- Authorization For Return and Examination of Medical Device form
- Authorization For Release of Medical Information (ROMI) form.
- Decontamination Instructions (Attachment 4)

Test Methodology:

Visual testing

Retrieved devices will be handled and evaluated according to DOP-QA-4015. The devices will be visually evaluated with the naked eye as well as microscopically. The observations will be recorded on a data sheet. The location of the tear, pinhole, cut, or other abnormality will be recorded on a diagram and maintained with the complaint file. The visual properties of the gel will also be recorded. Photographs will be taken and maintained with the complaint file.

Physical and Mechanical testing

The device catalog number, lot number, weight and dimensions will be recorded.

Explanted devices will be cut appropriately to perform the dimensional and mechanical shell property testing. Dependent upon the visual observations and conditions of the retrieved device, various tests will be performed on the device in accordance with established Test Methods.

Revised: 11 July, 2000

Mechanical testing will consist of the following: tension set (TM 406), ultimate elongation (TM 019) and shell/patch joint strength (TM 401). Additional test methods may be employed to further analyze the defects.

Intact Devices and Chemical testing

Upon notification of a customer, of a bilateral replacement where one device remains intact, PE will request permission to analyze the intact device, specifically the gel filler. If permission is granted, the device will be returned to Mentor for gel cohesion testing (TM 366).

Data Collection:

The following minimum information will be recorded: patient name, physician name, device type, catalog number, lot number, date of implant, date of explant, reported complaint, fill volume at explant, patient history, concomitant medications, and other relevant information that establish the in-vivo conditions. The Field Experience Report (FER) form will be utilized to collect the pertinent medical related information. (Attachment 1)

All in-vivo conditions, visual, mechanical and chemical test results as well as any additional information will be recorded on the attached forms or other established data forms. The data will be entered in a database for analysis.

Data Analysis:

The data will be analyzed by the various test conditions, i.e., visual observations (macroscopic and microscopic), dimensional data, mechanical data, etc. The data will be reviewed for specific trends, e.g., device type, size, shape and clinical variables including years in vivo, implant placement, incision location, and Betadine or other antimicrobial usage. The data will be evaluated to determine if there is any correlation to the time of implant failure. Test data will be periodically analyzed to determine its relevance to failure modes, product design, product use, and to determine if the ongoing collection of retrieval program information is warranted. These data will also be utilized to develop test methodology to predict the clinical failure modes, if applicable.

Reporting requirements:

All data will be recorded on the attached forms or on data sheets relevant to the specific test. The data will be entered into a database and subsequently analyzed. The results will be summarized in a test report and submitted to FDA.

References:

SOP-HS-112, Rev. K SOP-HS-113, Rev. N Product Complaint Handling System Medical Device Reporting (MDR)

DOP-QA-4002, Rev. E Product Evaluation: Processing of a Complaint File

Revised: 11 July, 2000 3

DOP-QA-4004, Rev. B Product Evaluation Coding System

DOP-QA-4007, Rev. D Product Complaint Handling by the Calling Coordinators DOP-QA-4015, Rev. D Product Evaluation Examination and Testing Procedure

TM000019, Rev. R Determination of Tensile/Elongation Properties of Elastomeric

Materials

TM000401, Rev. C Determination of Joint Bond Strength

TM000406, Rev. Tension Set TM000366, Rev. Gel Cohesion

Attachments

Attachment 1 Mentor Customer Field Experience Report (FER)

Attachment 2 Mentor Retrieval Program Data Record Form - Visual

Attachment 3 Mentor Retrieval Program Data Record Form - Physical

Attachment 4 Mentor Explanted Device Decontamination Instructions

MENTOR Customer Field Experience Report (FER)

Telephone # 1-800-258-3487 Fax # 972-659-6687

Demographic Information Patient Name _____SS#__ Date of Birth Weight Physician's Name Customer# Address ____ _Fax #_ Phone # Complaint Information Product Description (include size)_____ Device Left Right **Device** Left □ Right □ Complaint Complaint Complaint Date Problem Observed Date Problem Observed Capsular Contracture Yes□ No□ Grade_____Capsular Contracture Yes□ No□ Grade____ Infection Yes No No Culture Result_____ Infection Yes□ No□ Culture Result **Implant History** Date of Implant____ Date of Implant_____ Indication: 1°Augmentation 🗖 1°Reconstruction 🗖 Indication: 1°Augmentation 🗖 1°Reconstruction 🗖 Revision Recon. Revision Augmentation Revision Recon. Revision Augmentation Placement Submuscular Subglandular Placement Submuscular Subglandular Incision Site _____ Incision Site_____ Incision Size Incision Size Final Fill Volume Final Fill Volume Fill Schedule Fill Schedule Incision Size_____ Final Fill Volume Betadine Usage Yes No Betadine Usage Yes ☐ No☐ Soak Yes□ No□ Concentration Soak Yes□ No□ Concentration Pocket irrigation Yes□ No□ Conc. Pocket irrigation Yes□ No□ Conc. Intraluminal Use Yes□ No□ Conc. Intraluminal use Yes□ No□ Conc. Pocket rinsed Yes□ No□ Pocket rinsed Yes□ No□ Did the device come into contact with the patient? Yes 🗖 Is patient currently involved in any clinical studies? Yes \(\sigma\) No \(\sigma\) If yes, specify **Explant History** Date of Explant _____ Date of Explant _____ (see below) Fill Volume _____ (see below) Yes No Infection Yes No Culture Result Reason for Explant Incision Site Capsular Contracture Yes □ No □ Grade ___Capsular Contracture Yes □ No □ Grade ___ Incision Site_____ Incision Site _____ Incision Size _____Incision Size_____ Tissue ingrowth on shell observed Yes No No Tissue ingrowth on shell observed Yes□ No□ Tissue ingrowth in valve observed Yes □ No □ Tissue ingrowth in valve observed Yes□ No□

Did the device(s) sustain any damage during explant? Yes

No 🗆

Attachment 1 (continued)

MENTOR Customer Field Experience Report (FER)

General Patient Inform	<u>nation</u>	
Breast massage employe	ed Yes□ No□	No□ If yes, indicate number yes, provide details: side (L or R), date and type of trauma
Any other relevant infor	rmation Yes No	If yes, provide details
Device Replacement In		(s) requested
Replacement device:	(L) Cat #	Lot#
•	(R) Cat #	Lot #
Explam		
		Date
Provider of Information		Title

MENTOR RETRIEVAL PROGRAM DATA RECORD FORM

VISUAL OBSERVATIONS

Date of evaluation	PE Reference #	
L - Device TypeR - Device Type	Catalogue Number Catalogue Number	L/NL/N
Exposure conditions Failure location Failure dimensions Color of Device		
Macroscopic- Right General observations Exposure conditions Failure location Failure dimensions Color of Device Failure Characteristics Other		
Microscopic- Left Surface Characteristics Failure Characteristics Fold Flaw Present Other		
Microscopic- Left Surface Characteristics Failure Characteristics Fold Flaw Present Other	,	

MENTOR RETRIEVAL PROGRAM DATA RECORD FORM

PHYSICAL & MECHANICAL TESTING

Date of evaluation						
L - Device Type	Catalo	ogue Number		L/N		
R - Device Type	Catalo	ogue Number		L/N		
	PHYSI	CAL ANALYSIS	5			
Reference specification		Reference docum	nent			
L- Weight	Diameter		Projection			
R- Weight	Diameter		Projection			
	MECHA	NICAL ANALYS	IS			
Test Method	Res	sults		Spec Range		
		ER ANALYSIS				
Test Method		sults		Spec Range		
						
If required, specify						
Technician Signature/Date	e					
Reviewer Signature/Date						

Revised: 11 July, 2000

MENTOR EXPLANTED DEVICE DECONTAMINATION INSTRUCTIONS

Low Bleed Gel-Filled Devices:

- Wrap the prosthesis in a suitable wrapping material intended for autoclave use.
 Place the unit in a clean, open autoclaving tray.
- 2. Autoclave by one of the following Gravity Displacement Decontamination methods:

Standard Cycle: 30 minutes at 250° F (121° C) and 15 psi Optional Cycle: 15 minutes at 270° F (132° C) and 30 psi

Caution: Do not use a prevacuum high temperature autoclave cycle or an ethylene oxide sterilization cycle. Do not dry the implant using a vacuum Kyle.

LIQUID DISINFECTION INSTRUCTIONS

ALL Valve and Device Types:

Disinfection describes a process that eliminates many or all pathogenic microorganisms on inanimate objects, with the exception of bacterial endospores. This is generally accomplished by using a liquid chemical solution. Liquid chemical solutions may be used to achieve levels of disinfection which include sterilization, high-level disinfection, intermediate-level disinfection and low-level disinfection.

High-Level Disinfection is expected to destroy all microorganisms, with the exception of high numbers of bacterial spores. This is the level of disinfection recommended for devices which cannot be sterilized by autoclaving. Glutaraldehyde is a dependable high-level disinfectant, when used according to manufacturer's instructions.²

- 1. Immerse the device in glutaraldehyde solution (e.g. CIDEX* Activated Dialdehyde Solution) per manufacturer's instructions. Device should be soaked for a period to time sufficient to achieve high level disinfection.
- 2. Remove the device from solution, rinse thoroughly and gently blot dry.

Revised: 11 July, 2000 9

¹² Rutala WA APIC Guideline for selection and use of disinfectants. AM J Infect Control 1990 58 100-101

^{*}Registered Trademark of Johnson & Johnson Medical